

A High-Gain Cockcroft-Walton-Doubler-Based Switched-Coupled-Inductor Step-Up DC-DC Converter

Yuen-Haw Chang and Yu-Ming Lu

Abstract—A closed-loop high-gain Cockcroft-Walton-doubler-based switched-coupled-inductor (CWSCI) converter is proposed by combining a sawtooth wave generator and pulse-width-modulation-based (PWM-based) compensator for step-up DC-DC conversion and regulation. The power part between source V_S and output V_O contains two sub-circuits: (i) a switched-coupled-inductor (SCI) booster, and (ii) a two-stage Cockcroft-Walton-doubler (CWD) circuit. With the help of these circuits operating cyclically, this CWSCI can provide the total step-up voltage gain of $(2n+3+nD)/(1-D)$ theoretically, where D is the duty cycle of PWM and n is the turn ratio of the coupled inductor (e.g. the voltage gain can be boosted up to 21 when $D=0.5$, $n=3$). Further, the PWM technique is adopted not only to enhance the output regulation for the compensation of the dynamic error between the practical and desired outputs, but also to reinforce the robustness against source/loading variation. Finally, the closed-loop CWSCI is designed by OrCAD and simulated for some cases: steady-state and dynamic responses. All results are illustrated to show the efficacy of the proposed scheme.

Index Terms—high-gain, Cockcroft-Walton-doubler (CWD), switched-coupled-inductor (SCI), step-up DC-DC converter, pulse-width-modulation (PWM).

I. INTRODUCTION

With the rapid development of power electronics, the step-up DC-DC converters are emphasized more widely for electricity-supply applications, such as photovoltaic system, fuel cell, X-ray systems. In general, these power electronics converters are always required for a high efficiency, a small volume, a light weight, and a strong regulation capability.

The switched-capacitor converter (SCC), possessed of the charge pump structure, is one of solutions to DC-DC power conversion because it has only semiconductor switches and capacitors. Unlike traditional converters, the inductor-less SCC has light weight and small volume. Up to now, many types have been suggested [1]-[2], and some well-known topologies are presented, e.g. Dickson charge pump, Ioinovici SC. In 1976, Dickson charge pump was proposed with a two-phase diode-capacitor chain [3]-[4], but it has the drawbacks of fixed gain and large device area. In the 1990s, Ioinovici proposed a SCC with two symmetrical capacitor cells, and presented a current-mode SCC [5]-[6]. In 1997, Zhu and Ioinovici performed a com-

prehensive steady-state analysis of SCC [7]. In 1998, Mak and Ioinovici suggested a high-power-density SC inverter [8]. In 2004, Chang presented a current-mode SC inverter [9]. In 2009, Tan *et al.* proposed the modeling and design of SCC by variable structure control [10]. In 2011, Chang proposed an integrated step-up/down SCC (SCVM/SCVD) [11]. In 2013, Chang proposed a gain/efficiency-improved serial-parallel switched-capacitor converter (SPSCC) by combining an adaptive-conversion-ratio (ACR) and PWM control [12]. In 2014, Chang proposed a high-gain scheme of switched-inductor switched-capacitor step-up DC-DC converter (SISCC) [13]. In 2015, Wu proposed a non-isolated high step-up DC-DC converter adopting switched-capacitor cell [14].

In order to increase the voltage gain, it is one of the good ways to utilize the device of coupled-inductor. However, the stress on transistors and the volume of magnetic device might be considered. In 2011, Berkovich *et al.* proposed a switched-coupled-inductor cell for DC-DC converter with very large conversion ratio [15]. In 2015, Chen *et al.* proposed a novel switched-coupled-inductor DC-DC step-up converter via adopting a coupled inductor to charge a switched capacitor for making voltage gain effectively increased [16]. Not only lower conduction losses but also higher power conversion efficiency is benefited from fewer device count. For achieving a compromise among volume size, device count, and voltage gain, the closed-loop CWSCI is proposed by referring to the ideas of [11]-[18] for the high-gain DC-DC conversion and regulation.

II. CONFIGURATION OF CWSCI

Fig. 1 shows the overall configuration of Cockcroft-Walton-doubler-based switched-coupled-inductor (CWSCI) step-up DC-DC converter, and it contains two major parts: power part and control part for achieving the high-gain DC-DC conversion and closed-loop regulation. The details of these two parts are discussed as follows.

A. Power Part

The power part of CWSCI is shown in the upper half of Fig. 1 and it consists of two sub-circuits: a switched-coupled-inductor booster (SCI booster) and a two-stage Cockcroft-Walton doubler (CWD), connected in cascade between source V_S and output V_O . This converter contains one coupled inductor (L_1, L_2) with the turn ratio $n=N_2/N_1$, one power switch (S_1), four pumping capacitors (C_1-C_4) of two-stage CWD, one output capacitor C_o , and five diodes

Manuscript received December 1, 2018. This work is supported in part by Ministry of Science and Technology of Taiwan, R.O.C., under Grant MOST 107-2221-E-324-022. Yuen-Haw Chang and Yu-Ming Lu are with the Department of Computer Science and Information Engineering, Chaoyang University of Technology, Taichung, Taiwan, R.O.C. Post code: 413. (e-mail: cyhfyc@cyut.edu.tw, s10727608@gm.cyut.edu.tw).

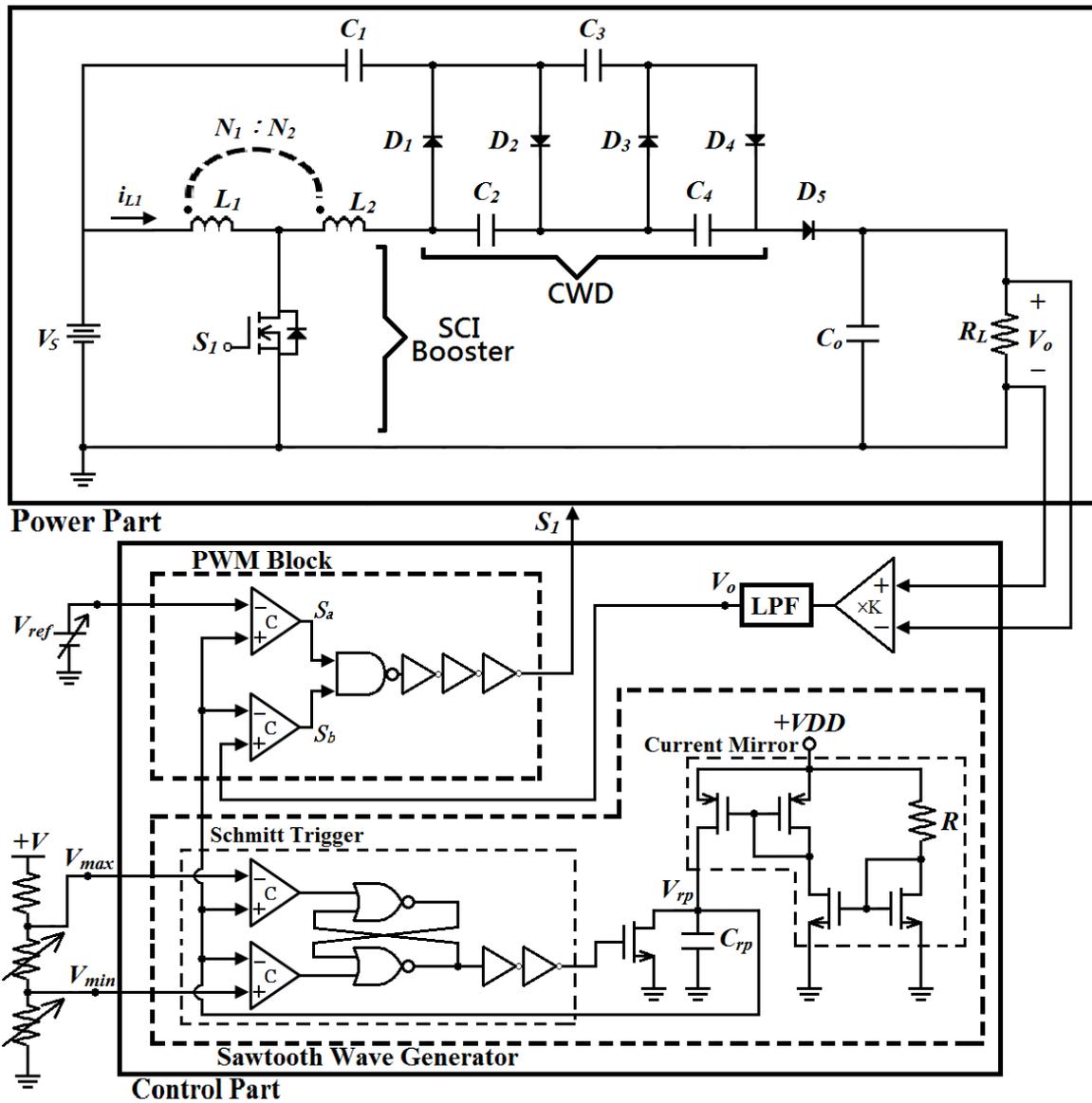


Fig. 1. Closed-loop configuration of CWSCI.

(D_1 - D_5), where each capacitor in CWD has the same capacitance C ($C_1=C_2=C_3=C_4=C$). Fig. 2 shows the theoretical waveforms of CWSCI in a switching cycle T_S ($T_S=1/f_s$, f_s : switching frequency). Each T_S includes two phases: Phase I (phase duration: DT_S) and Phase II (phase duration: $(1-D)T_S$), where D ($0 < D < 1$) is the duty cycle of PWM. The operations for Phase I and II are described as follows.

(i) Phase I:

During the period of Phase I, S_1 is turned ON. Then, the diodes D_2 and D_4 are turned ON, and D_1, D_3 are OFF. The current-flow path is shown as “---” in Fig. 3(a). The inductor L_1 is charged by source V_S , and the energy is simultaneously transferred from the primary side of the coupled inductor into the secondary side for making the voltage across L_2 being nV_S . Then, the capacitors C_2, C_4 are charged by V_S in series together with L_2, C_1 and C_3 . At the same time, output capacitor C_o just stands alone to supply load R_L .

(ii) Phase II:

During the period of Phase II, S_1 is turned OFF. Then,

the diodes D_1 and D_3 are turned ON, and D_2, D_4 are OFF. The current-flow path is shown as “---” in Fig. 3(b). L_1, L_2, C_2 and C_4 are discharged in series together with V_S to transfer the energy to output capacitor C_o and load R_L . At the same time, the capacitors C_1 and C_3 are charged by the series voltages of inductors L_1, L_2 and C_2 .

Based on the scheduled operations of Phase I and Phase II cyclically, the overall step-up gain can reach the value of $[1+nD+2(n+1)]/(1-D)=(2n+3+nD)/(1-D)$ theoretically ($V_S+|V_{L1}|+|V_{L2}|+|V_{C2}|+|V_{C4}| \rightarrow V_o$). Via extending the capacitor count, the gain can reach up to $[1+nD+m(n+1)]/(1-D)$, where m is the stage number of CWD.

B. Control Part

The control part of CWSCI is shown in the lower half of Fig. 1. It is composed of a sawtooth wave generator and PWM block. In the sawtooth wave generator, a current mirror is employed for generating a constant current source to charge the capacitor C_{rp} , and then voltage V_{rp} across this C_{rp} is linearly increasing like a ramp. Next, V_{rp} is sent and

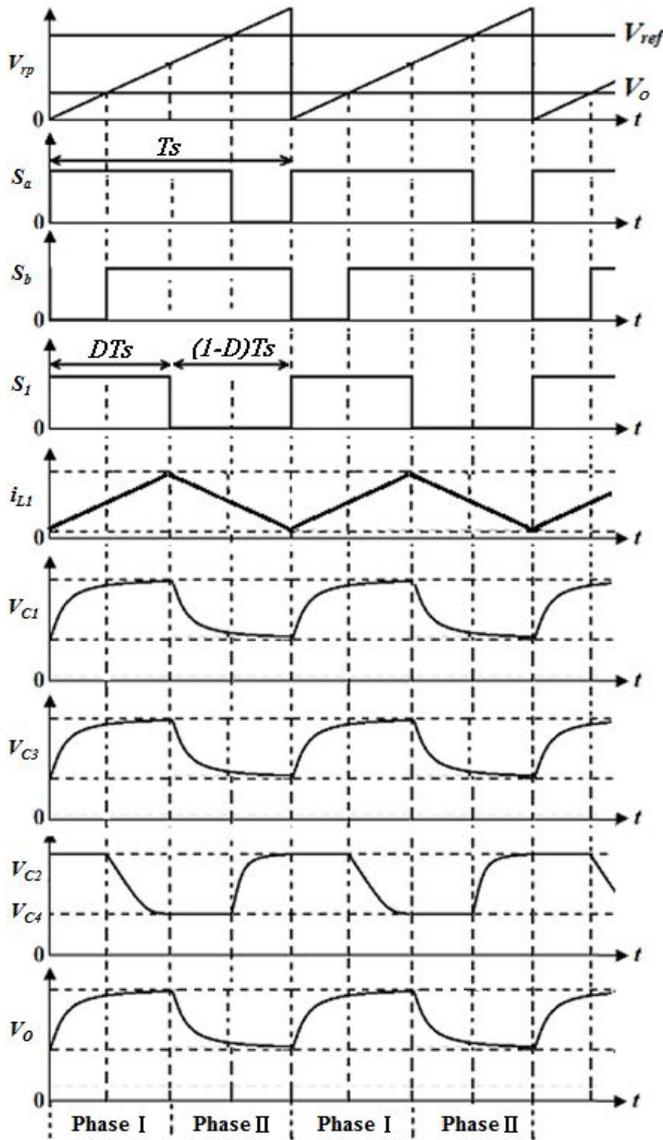


Fig. 2. Theoretical waveforms of CWSCI.

compared with two external voltages V_{max} and V_{min} in the Schmitt trigger in order to keep V_{rp} being in the range between V_{max} and V_{min} , just like the waveforms as in Fig. 2. From the controller signal flow, the feedback signal V_O is sent into the OP-amp low-pass filter (LPF) for high-frequency noise rejection. The filtered signal V_O is compared with the desired output reference V_{ref} to produce the driver signal of switch S_1 via the PWM block (D is the duty cycle of PWM for S_1). The goal of PWM control is to keep V_O on following the different desired V_{ref} for the better output regulation. If V_S or R_L is suddenly decreasing (source/loading variation), V_O will be going down. The error between V_{ref} and V_O is rising quickly. The bigger error makes a larger duty cycle D via PWM, and then this D will drive V_O to follow V_{ref} . In this paper, the closed-loop control will be achieved via the PWM-based compensator to improve the regulation capability of this converter.

III. EXAMPLES OF CWSCI

In this section, based on Fig. 1, this closed-loop converter is designed and simulated by OrCAD SPICE tool. The results are illustrated to verify the efficacy of the proposed converter. The component parameters of the

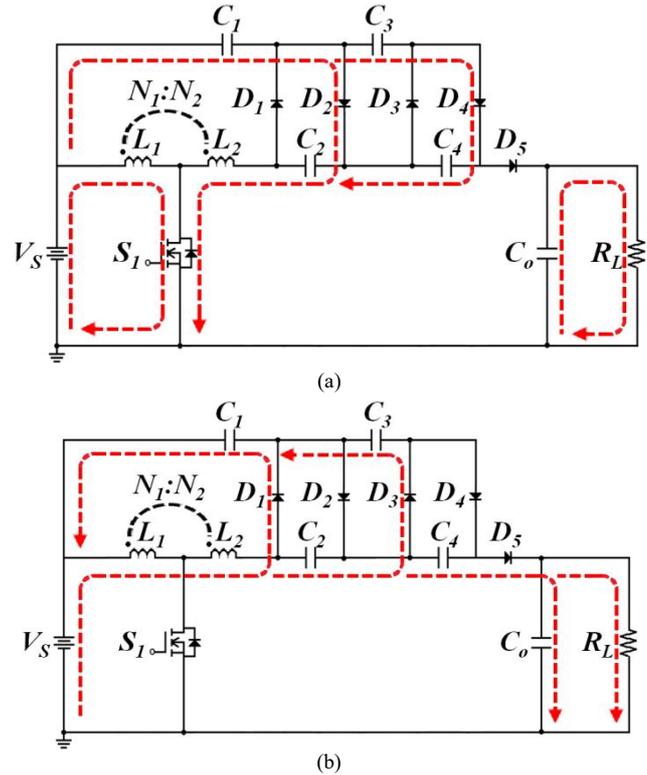


Fig. 3. Topologies for Phase (a)I, and (b)II.

Table I. Component parameters of CWSCI.

Supply source (V_S)	24V
Pumping capacitor ($C_1 \sim C_4$)	47uF
Output capacitor (C_O)	180uF
Inductor (L_1, L_2)	$L_1=100\mu\text{H}, L_2=900\mu\text{H} (n=3)$
Switching frequency (f_s)	50kHz
Diodes : $D_1 \sim D_5$	D1N5820
On-state resistance of switch	50uΩ
Load resistor (R_L)	5kΩ

CWSCI are listed in Table I. This converter is preparing for supplying the load $R_L=5\text{k}\Omega$. For closed-loop performances, some topics will be simulated and discussed, including: (i) steady-state responses, and (ii) dynamic responses.

(i) Steady-state responses:

The closed-loop CWSCI is simulated for $V_{ref} = 504\text{V} / 480\text{V} / 460\text{V}$ respectively, and then these output results are obtained as shown in Fig. 4(a)-(b) / 4(c)-(d) / 4(e)-(f). In Fig. 4(a), it can be found that the settling time is about 40ms, and the steady-state value of V_O is really reaching 503.96V. This converter is stable to keep V_O following V_{ref} (504V). In Fig. 4(b), the output ripple percentage (rp) is measured as $\text{rp} = \Delta v_o / V_O = 0.0012\%$, and the power efficiency is obtained as $\eta = 95.60\%$. In Fig. 4(c), the settling time is about 40ms, and the steady-state value of V_O is really reaching 478.90V. In Fig. 4(d), the output ripple percentage is measured as $\text{rp} = \Delta v_o / V_O = 0.0010\%$, and the power efficiency is obtained as $\eta = 95.31\%$. In Fig. 4(e), the settling time is about 40ms, and the steady-state value of V_O is really reaching 458.68V. In Fig. 4(f), the output ripple percentage is measured as $\text{rp} = \Delta v_o / V_O = 0.0008\%$, and the power efficiency is obtained as $\eta = 91.52\%$. These results show that this converter has a high voltage gain and a good steady-state performance.

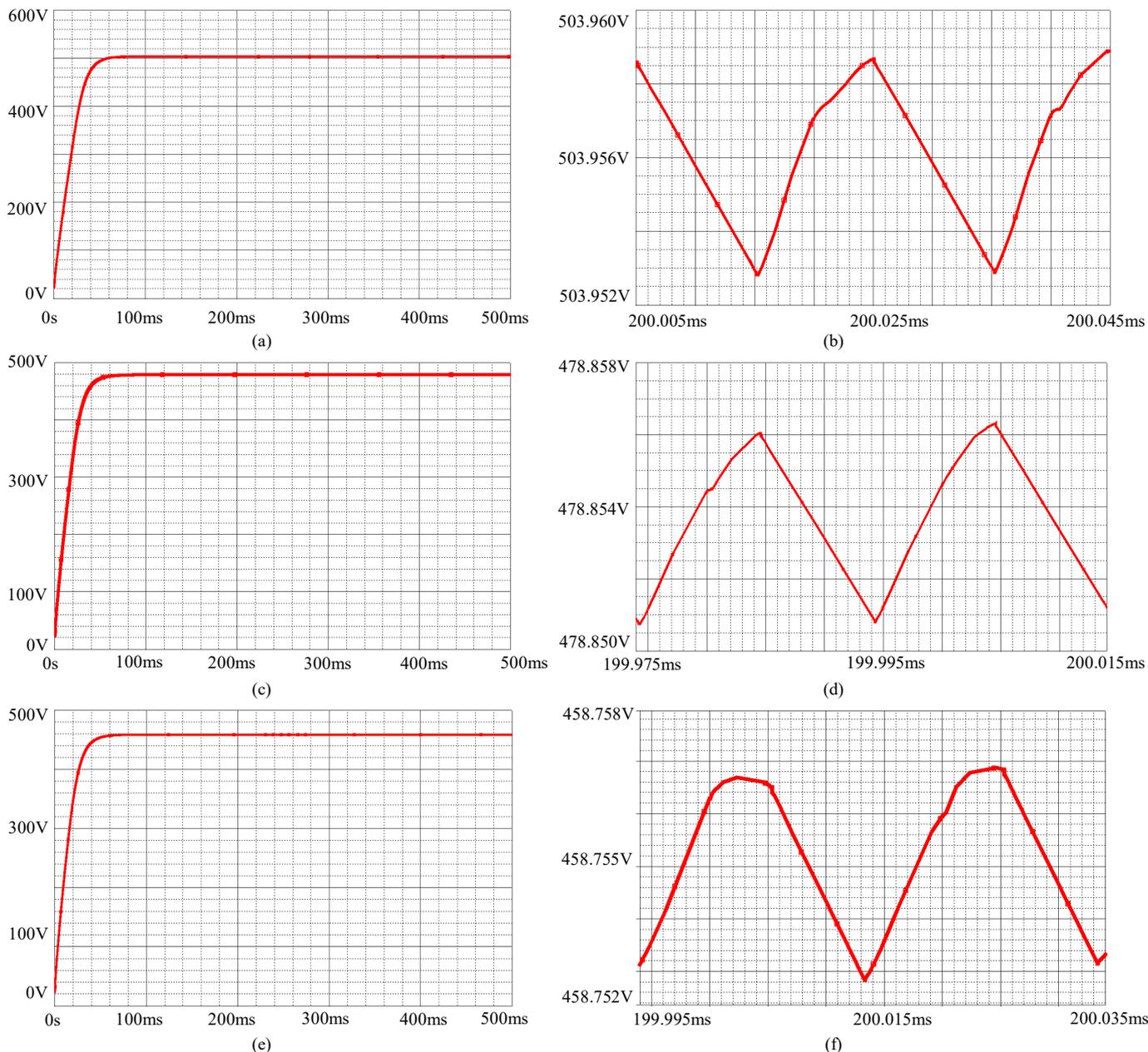


Fig. 4. Steady-state responses of CWSCI.
 (a) V_O for $V_{ref}=504V$, (b) $rp=0.0012\%$; (c) V_O for $V_{ref}=480V$, (d) $rp=0.0010\%$; (e) V_O for $V_{ref}=460V$, (f) $rp=0.0008\%$.

(ii) Dynamic responses:

Since the voltage of battery is getting low as the battery is working long time, or the bad quality of battery results in the impurity of source voltage, such a voltage variation should be considered as well as loading variation.

(a) Case I: (source variation)

Assume that V_S is the DC value of 23.5V and extra plus a sinusoidal signal disturbance of $1V_{p-p}$ as in Fig. 5(a), and then the waveform of V_O is obtained in Fig. 5(b) ($V_{ref}=490V$). Clearly, by using the closed-loop control, V_O is still keeping on V_{ref} in spite of source disturbance.

(b) Case II: (loading variation)

Assume that R_L is $5k\Omega$ normally, and it changes from $5k\Omega$ to $2.5k\Omega$. After a short period of 300ms, the load recovers from $2.5k\Omega$ to $5k\Omega$, i.e. $R_L=5k\Omega \rightarrow 2.5k\Omega \rightarrow 5k\Omega$ as in Fig. 5(c) ($V_{ref}=$

$490V$). Fig. 5(d) shows the transient waveform of V_O at the moment of loading variation. It is found that V_O has a small drop (5V, i.e. $5V/490V=1.02\%$) at $R_L: 5k\Omega \rightarrow 2.5k\Omega$ (double loading). Of course, the curve becomes thicker during the heavier load, i.e. the ripple becomes bigger at this moment.

(c) Case III: (reference variation)

Assume that V_{ref} is 504V normally, and it suddenly changes from 504V to 480V. After a short period of 400ms, the V_{ref} recovers from 480V to 504V, i.e. $V_{ref}=504V \rightarrow 480V \rightarrow 504V$ as in Fig. 5(e). The waveform of V_O is obtained in the Fig. 5(f). It is found that V_O is still following V_{ref} via the closed-loop compensation, even though V_{ref} has a change of 24V.

These results show that the closed-loop CWSCI has the good output regulation capability to source/loading variation as well as reference variation.

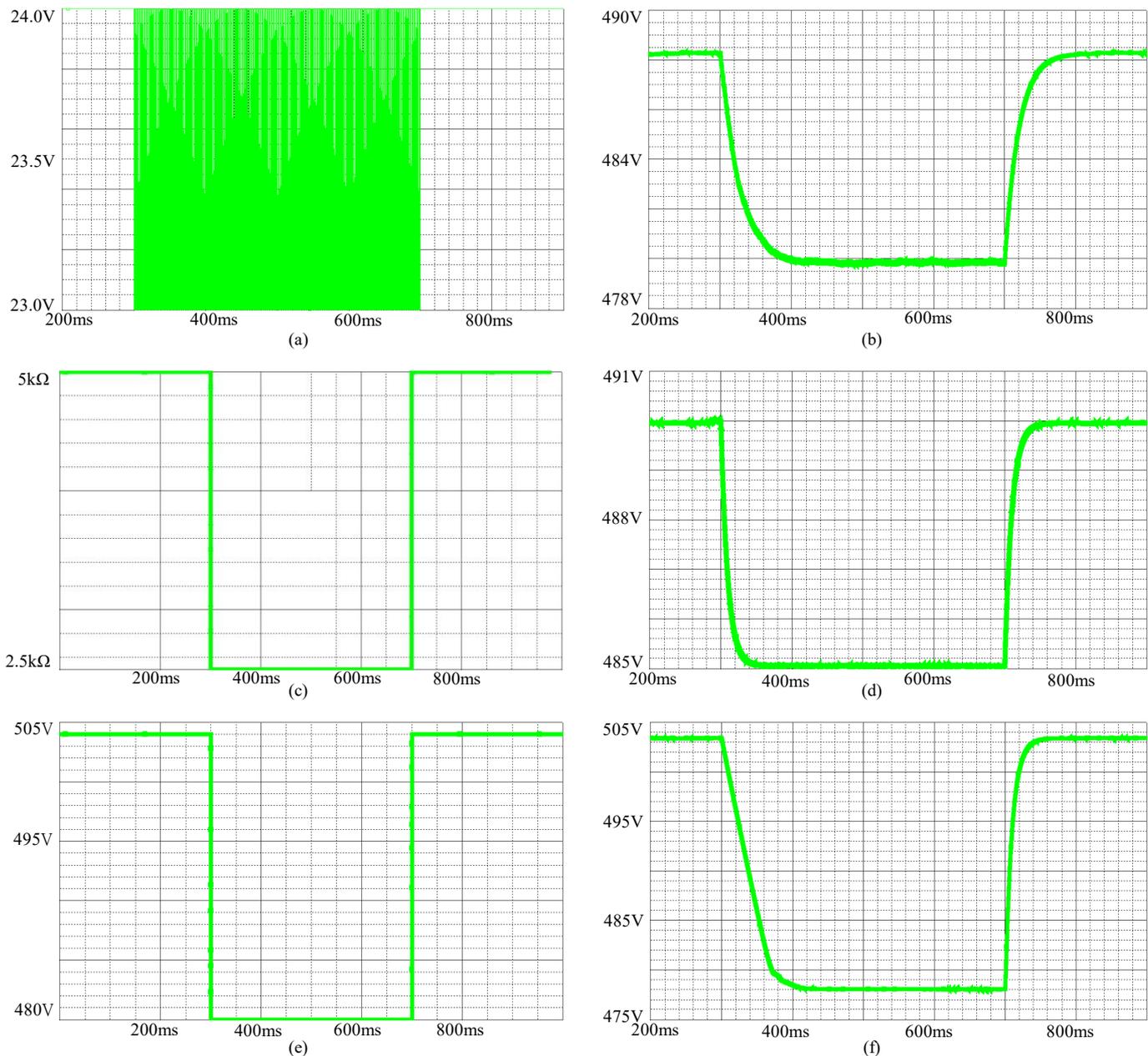


Fig. 5. Dynamic responses of CWSCI.
(a) $V_s=23.5+\sin(2\pi\times 1000t)$ V (b) V_o (Case I); (c) $R_L=5k\Omega\rightarrow 2.5k\Omega\rightarrow 5k\Omega$, (d) V_o (Case II); (e) $V_{ref}=504V\rightarrow 480V\rightarrow 504V$, (f) V_o (Case III).

IV. CONCLUSIONS

A closed-loop high-gain CWSCI converter is proposed by combining a sawtooth wave generator and PWM-based compensator circuit for step-up DC-DC conversion and regulation. The advantages of the proposed scheme are listed as follows. (i) In the CWSCI, the large conversion ratio can be achieved with one switch, one coupled inductor, and four capacitors for a step-up gain of 21 or above. (ii) As for the higher step-up gain, it is easily realized through increasing the turn ratio or extending the stage number of CWD. (iii) The PWM technique is adopted here not only to enhance output regulation capability for the different desired output, but also to reinforce the output robustness against source/loading/reference variation. At present, the prototype circuit of CWSCI is implemented in the lab as the photo in Fig. 6. The relevant experiments will go on for measurement and verification of the proposed converter.

REFERENCES

- [1] G. Palumbo and D. Pappalardo, "Charge pump circuits: An overview on design strategies and topologies," *IEEE Circuits Syst. Mag.*, vol. 10, no. 1, pp. 31-45, 1st Quarter 2010.
- [2] S. Singer, "Inductance-less up DC-DC converter," *IEEE J. Solid-State Circuits*, vol. SC-17, no. 4, pp. 778-781, Aug. 1982.
- [3] J. K. Dickson, "On-chip high voltage generation in NMOS integrated circuits using an improved voltage multiplier technique," *IEEE J. Solid-State Circuits*, vol. SSC-11, no. 3, pp. 374-378, Jun. 1976.
- [4] T. Tanzawa and T. Tanaka, "A dynamic analysis of the Dickson charge pump circuit," *IEEE J. Solid-State Circuits*, vol. 32, no. 8, pp.1231-1240, Aug. 1997.
- [5] O. C. Mak, Y. C. Wong, and A. Ioinovici, "Step-up DC power supply based on a switched-capacitor circuit," *IEEE Trans. Ind. Electron.*, vol.42, no. 1, pp. 90-97, Feb. 1995.
- [6] H. Chung and A. Ioinovici, "Switched-capacitor-based DC-to-DC converter with improved input current waveform," in *Proc. IEEE Int. Symp. Circuits Syst.*, Atlanta, GA, USA, 1996, pp. 541-544.
- [7] G. Zhu and A. Ioinovici, "Steady-state characteristics of switched-capacitor electronic converters," *J. Circuits, Syst., Comput.*, vol. 7, no. 2, pp. 69-91, 1997.



Fig. 6. Prototype circuit of CWSCI.

- [8] O. C. Mak and A. Ioinovici, "Switched-capacitor inverter with high power density and enhanced regulation capability," *IEEE Trans. Circuit Syst. I*, vol. 45, pp. 336-347, Apr. 1998.
- [9] Y.-H. Chang, "Design and analysis of power-CMOS-gate-based switched-capacitor boost DC-AC inverter," *IEEE Trans. Circuits Syst. I: Fundamental Theory Appl.*, vol. 51, no. 10, pp. 1998-2016, Oct. 2004.
- [10] S.-C. Tan, S. Bronstein, M. Nur, Y.M. Lai, A. Ioinovici, and C. K. Tse, "Variable structure modeling and design of switched-capacitor converters," *IEEE Trans. Circuits Syst. I: Reg. Papers*, vol. 56, no. 9, pp. 2132-2141, Sep. 2009.
- [11] Y.-H. Chang, "Variable-conversion-ratio switched-capacitor-voltage-multiplier/divider DC-DC converter," *IEEE Trans. Circuits Syst. I: Reg. Paper*, vol. 58, no. 8, pp. 1944-1957, Aug. 2011.
- [12] Y.-H. Chang, "A gain/efficiency-improved serial-parallel switched-capacitor step-up DC-DC converter," *IEEE Trans. Circuits Syst. I: Reg. Paper*, vol. 60, no. 10, pp. 2799-2809, Oct. 2013.
- [13] Y.-H. Chang and Y.-J. Chen, "High-gain switched-inductor switched-capacitor step-up DC-DC converter," *International Multi Conference of Engineers and Computer Scientists 2013 (IMECS'2013)*, vol. 2, pp. 670-675, Hong Kong, March 13-15, 2013.
- [14] Gang Wu, "Non-isolated high step-up DC-DC converters adopting switched-capacitor cell," *IEEE Trans. Ind. Electron.*, vol. 62, no. 1, pp. 383-393, Jan. 2015.
- [15] B. Axelrod and Y. Berkovich, "Switched-coupled inductor cell for DC-DC converters with very large conversion ratio," *IET Power Electron.*, vol. 4, no. 3, pp. 309-315, Mar. 2011.
- [16] S.-M. Chen, "A novel switched-coupled-inductor DC-DC step-up converter and its derivatives," *IEEE Trans. Industry Applications.*, vol. 51, no. 1, pp. 309-314, Jan. 2015.
- [17] Y.-H. Chang and D.-L. Ou, "A dual-clamped-voltage coupled-inductor switched-capacitor step-up DC-DC converter," *Int. MultiConference of Engineers and Computer Scientists 2018 (IMECS'2018)*, vol. 2, pp. 647-652, Hong Kong, March 14-16, 2018.
- [18] Y.-H. Chang and E.-P. Jhao, "A high-gain multiphase switched-capacitor coupled-inductor step-up DC-DC converter," *Int. MultiConference of Engineers and Computer Scientists 2017 (IMECS'2017)*, vol. 2, pp. 638-643, Hong Kong, March 15-17, 2017.