Classification Of Computationally Tractable Weighted Voting Games

Haris Aziz and Mike Paterson, University of Warwick *

Abstract—Weighted voting games are ubiquitous mathematical models which are used in economics, political science, neuroscience, threshold logic, reliability theory and distributed systems. They model situations where agents with variable voting weight vote in favour of or against a decision. A coalition of agents is winning if and only if the sum of weights of the coalition exceeds or equals a specified quota. The Banzhaf index is a measure of voting power of an agent in a weighted voting game. It depends on the number of coalitions in which the agent is the difference in the coalition winning or losing. It is well known that computing Banzhaf indices in a weighted voting game is #P-complete. We give a comprehensive characterization of weighted voting games which can be solved in polynomial time. Among other results, we provide a polynomial $(O(k(\frac{n}{k})^k))$ algorithm to compute the Banzhaf indices in weighted voting games in which the number of weight values is bounded by k.

Keywords: algorithms and complexity, Banzhaf indices, voting power, weighted voting games.

1 Introduction

1.1 Motivation and Background

Weighted voting games (WVGs) are mathematical models which are used to analyze voting bodies in which the voters have different number of votes. In WVGs, each voter is assigned a non-negative weight and makes a vote in favour of or against a decision. The decision is made if and only if the total weight of those voting in favour of the decision is greater than or equal to some fixed quota. Since the weights of the players do not always exactly reflect how critical a player is in decision making, voting power attempts to measure the ability of a player in a WVG to determine the outcome of the vote. WVGs are also encountered in threshold logic, reliability theory, neuroscience and logical computing devices ([1], [2]). Parhami [3] points out that voting has a long history in reliability systems dating back to von Neumann [4]. For reliability systems, the weights of a WVG can represent the significance of the components whereas the quota can represent the threshold for the overall system to fail. WVGs have been applied in various political and economic organizations ([5]). Voting power is also used in joint stock companies where each shareholder gets votes in proportion to the ownership of a stock [6].

The Banzhaf index is considered the most suitable power index by voting power theorists ([7] and [8]). The computational complexity of computing Banzhaf indices in WVGs is well studied. Prasad and Kelly [9] show that the problem of computing the Banzhaf values of players is #P-complete. It is even NP-hard to identify a player with zero voting power or two players with same Banzhaf indices [10]. Klinz and Woeginger [11] devised the fastest exact algorithm to compute Banzhaf indices in a WVG. In the algorithm, they applied a partitioning approach that dates back to Horowitz and Sahni [12]. However the complexity of the algorithm is still $O(n^2 2^{\frac{n}{2}})$. In this paper, we restrict our analysis to exact computation of Banzhaf indices instead of examining approximate solutions. We show that although computing Banzhaf indices of WVGs is a hard problem in general, it is easy for various classes of WVGs, e.g., for WVGs with a bounded number of weight values, an important sub-class of WVGs.

1.2 Outline

Section 2 provides the preliminary definitions of terms used in the paper. Section 3 characterizes WVGs in which Banzhaf indices can be computed in constant time. In Section 4, we examine WVGs with a bounded number of weight values, and provide algorithms to compute the Banzhaf indices. Section 6 examines WVGs with special weight distributions. We conclude with some open problems in the final section.

2 Preliminaries

2.1 Voting Games

We give definitions of key terms. The set of voters is $N = \{1, ..., n\}.$

Definitions 2.1. A simple voting game is a pair (N, v)with $v : 2^N \to \{0, 1\}$ where $v(\emptyset) = 0$, v(N) = 1 and $v(S) \leq v(T)$ whenever $S \subseteq T$. A coalition $S \subseteq N$ is winning if v(S) = 1 and losing if v(S) = 0. A simple

^{*}For correspondence, please contact Haris Aziz, Computer Science Department, University of Warwick, Coventry, UK, CV4 7AL. Fax: ++44 24 7657 3024, Tel: ++44 24 7652 2350, Emails: {Haris.Aziz, M.S.Paterson}@warwick.ac.uk.

voting game can alternatively be defined as (N, W) where W is the set of winning coalitions.

Definition 2.2. The simple voting game (N, v) where $W = \{X \subseteq N, \sum_{x \in X} w_x \ge q\}$ is called a weighted voting game. A weighted voting game is denoted by $[q; w_1, w_2, ..., w_n]$ where w_i is the voting weight of player *i*. Usually, $w_i \ge w_j$ if i < j.

Generally, $\frac{1}{2} \sum_{1 \leq i \leq n} w_i \leq q \leq \sum_{1 \leq i \leq n} w_i$ so that there can be no two disjoint winning coalitions. Such weighted voting games are termed *proper*.

2.2 Voting Power Indices

Definitions 2.3. A player *i* is critical in a coalition *S* when $S \in W$ and $(S \setminus i) \notin W$. For each $i \in N$, we denote the number of coalitions in which *i* is critical in game *v* by $\eta_i(v)$. The Banzhaf index of player *i* in weighted voting game *v* is $\beta_i = \frac{\eta_i(v)}{\sum_{i \in N} \eta_i(v)}$. The probabilistic Banzhaf index, β'_i of player *i* in game *v* is $\eta_i(v)/2^{n-1}$. Coleman's power of the collectivity to act, *A*, is defined as the ratio of the number of winning coalitions *w* to 2^n : $A = w/2^n$.

The problem of computing the Banzhaf indices of a WVG can be defined formally as following:

Name: BI-WVG Instance: WVG, $v = [q; w_1, ..., w_n]$ Question: What are the Banzhaf indices of the players?

2.3 Complexity

Definitions 2.4. A problem is in complexity class P if it can be solved in time which is polynomial in the size of the input. A problem is in complexity class NP if its solution can be verified in time which is polynomial in the size of the input of the problem. A problem is in complexity class NP-hard if any problem in NP is polynomial time reducible to that problem. NP-hard problems are as hard as the hardest problems in NP. Informally, #P is the complexity class which consists of the counting problems associated with the decision problems in the set NP. A problem is #P-complete if it is as hard as the hardest problems in #P.

Any problem P can be defined in its corresponding parametrized form where the parametrized problem is the original problem P along with some parameter k.

Definition 2.5. A parametrized problem P with an input instance n and parameter k is called fixed-parameter tractable if there is an algorithm which can solve P in $O(f(k)n^c)$ where c is an integer and f is a computable function depending solely on k. The class of all fixed-parameter tractable problems is called FPT.

3 Constant time

3.1 Equal Weights

If the WVG v is $[q; \underbrace{u, u, \ldots, u}]$, then the Banzhaf indices

 β_1, \ldots, β_n are equal to 1/n. The Banzhaf indices can be found in constant time, and the following theorem gives the actual number of swings for each player.

Theorem 3.1. In a WVG with n equal weights, u, each player is critical in $\binom{n-1}{\lceil q/u\rceil-1}$ coalitions. Moreover, the total number of winning coalitions, w is $\sum_{i=\lceil q/u\rceil}^{n} \binom{n}{i}$.

Proof. The minimum number of players needed to form a winning coalition is $\lceil q/u \rceil$. A player is critical in a coalition if there are exactly $\lceil q/u \rceil - 1$ other players in the coalition. There are $\binom{n-1}{\lceil q/u \rceil - 1}$ such coalitions. There are $\binom{n}{i}$ coalitions of size *i* and such a coalition is winning if $i \ge \lceil q/u \rceil$.

The probabilistic Banzhaf index of each player is then $\binom{n-1}{\lceil q/u\rceil-1}/2^{n-1}$. We can also compute Coleman's power of the collectivity to act, A, which is equal to $\frac{w}{2^n}$

3.2 Dictator

A *dictator* is a player who is present in every winning coalition and absent from every losing coalition. This means that the player 1 with the biggest weight is a dictator if and only if $w_1 \ge q$ and $\sum_{2 \le i \le n} w_i < q$. In that case, $\beta_1 = 1$ and $\beta_i = 0$ for all i > 1.

3.3 Very small quota

If $0 < q \le w_n$ then the only minimal winning coalitions are all the singleton coalitions. So there are *n* minimal winning coalitions and every player is critical in one coalition. Thus, for all *i*, $\beta_i = 1/n$ and the Banzhaf indices can be found in constant time (i.e., O(1)). Moreover, $\beta'_i = 1/2^{n-1}$ for all *i*, and $A = \frac{2^n - 1}{2^n}$

3.4 Very big quota

If $q \geq \sum_{1 \leq i \leq n} w_i - w_n$, then the only minimal winning coalition is $\{1, 2, \ldots, n\}$ and it becomes losing if any player gets out of the coalition. Thus the weighted voting game acts like the unanimity game. Then for all i, $\beta_i = 1/n$. The Banzhaf indices can be found in constant time (i.e., O(1)). Moreover, for all i, $\beta'_i = 1/2^{n-1}$ and $A = 1/2^n$.

4 Bounded number of weight values

In this section we estimate the time complexity of several algorithms. Here, we will suppose that arithmetic operations on O(n)-digit numbers can be done in constant time.

4.1 All weights except one are equal

Theorem 4.1. Let v be a WVG, $[q; w_a, w_b, ..., w_b]$, where there is w_a and m weights of value w_b , where $w_b < q$. Let x be $\lceil \frac{q-w_a}{w_b} \rceil$ and $y = \lceil q/w_b \rceil$. Then the total number of coalitions in which a player with weight w_b is critical is $\binom{m-1}{y-1} + \binom{m-1}{x}$. Moreover, the number of coalitions in which the player with weight w_a is critical is $\sum_{i=x}^{\operatorname{Min}(y-1,m)} \binom{m}{i}$.

Proof. A player with weight w_b is critical in 2 cases:

- 1. It makes a winning coalition with other players with weight w_b only. Let y be the minimum number of players with weight w_b which form a winning coalition by themselves. Thus $y = \lceil q/w_b \rceil$. The number of such coalitions in which a player with weight w_b can be critical is $\binom{m-1}{y-1}$.
- 2. It makes a winning coalition with the player with weight w_a and none or some players with weight w_b . Let x be the minimum number of players with weight w_b which can form a winning coalition with the inclusion of the player with weight w_a . Thus $x = \lceil \frac{q - w_a}{w_b} \rceil$ Then, the number of such coalitions in which a player with weight w_b can be critical is $\binom{m-1}{x}$.

The total number of swings for a player with weight w_b is thus $\binom{m-1}{y-1} + \binom{m-1}{x}$.

The player with weight w_a is critical if it forms a winning a coalition with some players with weight w_b but the coalition becomes losing with its exclusion. The player with weight w_a can prove critical in coalition with varying number of players with weight w_b . The maximum number of players with weight w_b with which it forms a winning coalition and is also critical is y - 1 in case $y \leq m$ and m in case y > m. Therefore the total number of coalitions in which the player with weight w_a is critical is $\sum_{i=x}^{\text{Min}(y-1,m)} {m \choose i}$.

4.2 Only two different weight values

Theorem 4.2. For a WVG with n players and only two weight values, the Banzhaf indices and numbers of swings can be computed in $O(n^2)$ time.

Proof. We look at a WVG, $v = [q; w_a, ..., w_a, w_b, ..., w_b]$, where there are n_a players with weight w_a and n_b players with weight w_b . We analyse the situation when a player with weight w_a proves to be critical in a coalition which has *i* other players with weight w_b and the rest with weight w_a . Then the minimum number of players with weight w_b required is $\lceil \frac{q-(i+1)w_a}{w_b} \rceil$. Moreover the maximum number of players with w_b is $\lceil \frac{q-iw_a}{w_b} \rceil - 1$. Therefore *j*, the number of players with weight w_b , satisfies the following inequality: $x_1(i) = \lceil \frac{q-(i+1)w_a}{w_b} \rceil \le$ $j \leq \operatorname{Min}(\lceil \frac{q-iw_a}{w_b} \rceil - 1, n_b) = x_2(i)$. Let $A_i = \binom{n_a-1}{i}$, and let $B_i = \sum_{\substack{j=x_1(i)\\j=x_1(i)}}^{x_2(i)} \binom{n_b}{j}$. We define, the maximum possible number of extra players with weight a, to be maxa = $\operatorname{Min}(\lceil q/w_a \rceil - 1, n_a - 1)$. Then the total number of swings of the player with weight w_a is $\sum_{i=0}^{\max a} A_i B_i$. The total number of swings for a player with weight w_b can be computed by a symmetric method. \Box

We can devise an algorithm (Algorithm 2) from the method outlined in the proof.

Algorithm 1 SwingsFor2ValueWVG **Input:** $v = [q; (n_a, w_a), (n_b, w_b)].$ **Output:** Total swings of a player with weight w_a . $1: \ \mathsf{swings}_a \gets 0$ 2: maxa $\leftarrow \mathsf{Min}(\lceil q/w_a \rceil - 1, n_a - 1)$ 3: for i = 0 to maxa do 4: $x_1(i) \leftarrow \left\lceil \frac{q - (i+1)w_a}{w_b} \right\rceil$ $x_1(i) \leftarrow \mathsf{Min}(\lceil \frac{q-i(w_a)}{w_b} \rceil - 1, n_b)$ 5: $A_i \leftarrow \binom{n_a - 1}{i}$ 6: 7:if $x_1(i) > n_b$ then 8: $B_i \leftarrow 0$ else if $x_2(i) < 0$ then 9: $B_i \leftarrow 0$ 10: else11: 12: $B_i \leftarrow 0$ 13:for $j = x_1(i)$ to $x_2(i)$ do $B_i \leftarrow B_i + \binom{n_b}{i}$ 14: end for 15:end if 16: $swings_a = swings_a + A_i B_i$ 17:18: end for 19: return swings_a

 $\begin{array}{l} \textbf{Algorithm 2} \text{ BIsFor2ValueWVG} \\ \hline \textbf{Input: } v = [q; (n_a, w_a), (n_b, w_b)]. \\ \textbf{Output: Banzhaf indices, } \beta = (\beta_a, \beta_b). \\ 1: \text{ swings}_a = \text{SwingsFor2ValueWVG}(v) \\ 2: v' = [q; (n_b, w_b), (n_a, w_a)] \\ 3: \text{ swings}_b = \text{SwingsFor2ValueWVG}(v') \\ 4: \text{ totalswings} = n_a \text{swings}_a + n_b \text{swings}_b \\ 5: \beta_a = \frac{\text{swings}_a}{\text{totalswings}} \\ 6: \beta_b = \frac{\text{swings}_b}{\text{totalswings}} \\ 7: \text{ return } (\beta_a, \beta_b) \end{array}$

4.3 k weight values

Theorem 4.3. The problem of computing Banzhaf indices of a WVG with k possible values of the weights is solvable in $O(n^k)$.

Proof. We can represent a WVG v with k weight classes as following: $[q; (n_1, w_1), (n_2, w_1), ..., (n_k, w_k)]$ where n_i

is the number of players with weights w_i for i = 1, ..., k. Here, we extend the Algorithm 2 to Algorithm 4 for two weight classes to k weight classes.

We can write v' as $[q; (1, w_0), (n_1 - 1, w_1), ..., (n_k, w_k)]$ where $w_0 = w_1$. This makes it simpler to use a recursive function to compute the number of swings of player with weight w_0 . Let $A_{i_1,i_2,...,i_m}$ be the number of swings for w_0 where there are i_j players with weight w_j in the coalition for $1 \le j \le m$. We write A_{ϵ} where $A_{i_1,i_2,...,i_{m-1}} = \begin{cases} \sum_{L_m}^{U_m} A_{i_1,i_2,...,i_m} & \text{if } m-1 < k, \\ f_m & \text{if } m-1 = k, \end{cases}$

where

$$L_m = l_m(i_1, \dots i_{m-1}) \\ = \left[\frac{q - w_0 - \sum_{j=1}^{m-1} i_j w_j}{w_m} \right]$$

$$U_m = u_m(i_1, \dots i_{m-1}) \\ = \operatorname{Min}(\left[\frac{q - \sum_{j=1}^{m-1} i_j w_j}{w_m}\right] - 1, n_m)$$

and

$$f_m = \begin{cases} 0 & \text{if } L_m > n_m, \\ 0 & \text{if } U_m < 0, \\ \binom{n_m}{i_m} & \text{otherwise.} \end{cases}$$

Algorithm 3 SwingsFor-k-ValueWVG

Input: $v = [q; (n_1, w_1), (n_1, w_1), \dots, (n_k, w_k)].$ **Output:** Total number of swings, swings₀, of a player with weight w_1 .

 $\begin{array}{ll} & 1: \ w_0 = w_1 \\ & 2: \ v' = [q; (1, w_0), (n - 1, w_1), ..., (n_k, w_k)] \\ & 3: \ L_1 = 0 \\ & 4: \ U_1 = \mathsf{Min}(\lceil q/w_1 \rceil - 1, n_1) \\ & 5: \ \mathsf{swings}_0 = A_\epsilon \\ & 6: \ \mathbf{return} \ \mathsf{swings}_0 \end{array}$

Algorithm 4 BIsFor-k-ValueWVG

Input: $v = [q; (n_1, w_1), (n_1, w_1), \dots, (n_k, w_k)].$ **Output:** Banzhaf indices, $\beta = (\beta_1, \dots, \beta_k)$. 1: $swings_1 = SwingsForWVG(v)$ 2: totalswings $\leftarrow 0$ 3: for i = 2 to k do 4: $v = \mathsf{Swap}(v, (n_1, w_1)(n_i, w_i))$ 5: $swings_i = SwingsForWVG(v)$ 6: totalswings \leftarrow totalswings + n_i swings_i 7: end for 8: for i = 1 to k do $\beta_i = \frac{\mathrm{swings}_i}{\mathrm{totalswings}}$ 9: 10: end for 11: return $(\beta_1, \ldots, \beta_k)$

We note that the exact computational complexity of BI-WVG for a WVG with k weight values is $O(k(\frac{n}{k})^k)$. None of the algorithms presented for WVGs with bounded weight values extends naturally for multiple weighted voting games.

5 Distribution of weights

5.1 Geometric sequence of weights, and unbalanced weights

Definition 5.1. An *r*-geometric WVG $[q; w_1, ..., w_n]$ is a WVG where $w_i \ge rw_{i+1}$ for i = 1, ..., n-1.

We observe that in a 2-geometric WVG (such as $[q; 2^n, 2^{n-1}, ...,]$), for any target sum of a coalition, we can use a greedy approach, trying to put bigger weights first, to come as close to the target as possible. This greedy approach was first identified by [13] for a broader category of weighted voting games in which weights are unbalanced:

Definition 5.2. An unbalanced WVG is a WVG such that, for $1 \le j \le n$, $w_j > w_{j+1} + w_{j+2} \dots + w_n$.

Example 5.3. The game [22; 18, 9, 4, 2, 1] is an example of an unbalanced WVG where each weight is greater than the sum of the subsequent weights.

Chakravarty, Goel and Sastry [13] showed that the greedy approach for unbalanced WVG with integer weights can help to compute all Banzhaf indices in O(n). We notice that the same algorithm can be used for an unbalanced WVG with real weights without any modification. In fact it is this property of 'geometric weights' being unbalanced which is the reason that we can find suitable coalitions for target sums so efficiently. We characterise those geometric sequences which give unbalanced WVGs:

Theorem 5.4. If $r \ge 2$ then every r-geometric WVG is unbalanced.

Proof. Let v be an r-geometric WVG. We prove by induction that $w_j > w_{j+1} + \ldots + w_n$. This is true for j = n. Suppose it is true for all $i, j + 1 \le i \le n$. Since v is r-geometric, $w_j \ge 2w_{j+1}$. But, $2w_{j+1} = w_{j+1} + w_{j+1} > w_{j+1} + w_{j+2} + \ldots + w_n$. Therefore v is unbalanced. \Box

Corollary 5.5. For an r-geometric WVG v where $r \ge 2$, the Banzhaf indices of players in v can be computed in O(n) time.

Proof. Since the condition of $r \ge 2$ makes v an unbalanced WVG, then we can use the greedy algorithm from [13] which computes the Banzhaf indices in O(n). \Box

Definition 5.6. A WVG is k-unbalanced if, for $1 \le j \le n$, $w_j > w_{j+k} + \cdots + w_n$. So an unbalanced WVG is '1-unbalanced'.

Note that an *r*-geometric WVG is 2-unbalanced when $r \ge \frac{1+\sqrt{5}}{2} \approx 1.61803... = \varphi$, the golden ratio, since then

$$\frac{1}{r^2} + \frac{1}{r^3} + \dots < \frac{1}{r(r-1)} \le 1 \text{ since } r(r-1) \ge \varphi(\varphi-1) = 1.$$

We check whether 2-unbalanced WVGs have properties similar to those of unbalanced WVGs.

Example 5.7. Consider a WVG v with 2m players and weights $1, 1, 3, 3, \ldots, 3^j, 3^j, \ldots, 3^{m-1}, 3^{m-1}$. It is easy to see that $\sum_{i=0}^{j-1} 2 \cdot 3^i < 3^j$, so the game is 2-unbalanced.

In the unbalanced game, for each target coalition sum, there is either one corresponding coalition or none. This does not hold for 2-unbalanced WVGs. In Example 5.7 with target total $1 + 3 + \cdots + 3^{m-1} = \frac{1}{2}(3^m - 1)$, there are exactly 2^m coalitions which give this target, namely those coalitions with exactly one player out of each equal pair.

We prove that even for the class of 2-unbalanced (instead of simply unbalanced) WVGs the problem of computing Banzhaf indices becomes NP-hard.

Theorem 5.8. BI-WVG is NP-hard for the class of 2unbalanced WVGs.

Proof. We will use a reduction from the following NP-hard problem:

Subset Sum:

Instance: $z_1, \ldots, z_m, T \in \mathbb{N}$.

Question: Are there $x_j \sin \{0, 1\}$ so that $\sum_{j=1}^m x_j z_j = T$?

For the reduction from Subset Sum, we scale and modify the weights from the WVG v of Example 5.7. For any instance $I = \{z_1, \ldots, z_m, T\}$, we will define a game v_I with 2m + 1 players. Let $Z = 1 + \sum_{j=1}^{m} z_j$, and we may assume that T < Z. Whereas v had pairs of weights $3^j, 3^j$ for $0 \le j \le m - 1$, in v_I there is one "unit player" with weight 1 and 2m pairs of players with weights $3^jZ, 3^jZ + z^j$ for $0 \le j \le m - 1$. The quota for v_I is $\frac{1}{2}(3^m - 1)Z + T + 1$. The unit player has nonzero Banzhaf index if and only if there exists a coalition among the other 2m players with weight exactly $\frac{1}{2}(3^m - 1)Z + T$. We will show that to determine this is equivalent to answering the Subset Sum instance I, and so even this special case of BI-WVG is NP-hard.

In Example 5.7, it was necessary (and sufficient) for achieving the target total of $\frac{1}{2}(3^m - 1)$ to take exactly one player from each pair. In game v_I , since $\sum_{1}^{m} z_m < Z$, this is still a necessary condition for achieving the total of $\frac{1}{2}(3^m - 1)Z + T$, and whether or not there is such a selection achieving the total is exactly the condition of whether there is a subset of the z_i s which sums to T. \Box

5.2 Sequential weights

Definition 5.9. The set of weights $\{w_1, w_2, ..., w_n\}$ is sequential if $w_n|w_{n-1}|w_{n-2}...|w_1$.

Example 5.10. [32; 20, 10, 10, 5, 1, 1, 1] is an example of a WVG with sequential weights.

Chakravarty, Goel and Sastry [13] show that Banzhaf indices can be computed in $O(n^2)$ time if the weights are sequential and they satisfy an additional dominance condition:

Definition 5.11. Let $d_1 > d_2 > \cdots > d_r$ be the distinct values of weights w_1, \ldots, w_n of a sequential set. Then $d_k = m_k d_{k+1}$ where $m_k > 1$, $\forall k, 1 \leq k \leq r$. Let $N_k = \{i \mid w_i = d_k\}$ and $n_k = |N_k|$. Then the dominance condition holds if $m_k > n_{k+1} \ \forall k, 1 \leq k < r$.

We provide an alternative dominance condition for weights which are not necessarily sequential.

Definition 5.12. Let $d_1 > d_2 > \cdots > d_r$ be the distinct values of weights w_1, \ldots, w_n of a sequential set. Let $N_k = \{i | w_i = d_k\}$ and $n_k = |N_k|$. Then the alternative dominance condition holds if $\forall j \in N_k$, $1 \leq k < r$, $w_j > \sum \{w_p \mid p \in N_i, i > k\}$.

Proposition 5.13. Suppose a WVG v satisfies the alternative dominance condition. Then for v, BI-WVG has time complexity $O(n^2)$.

Proof. This follows from Theorem 10 in [13] where the proof is for a sequential WVG which obeys the dominance condition. However we notice that since the argument in the proof can be made for any WVG which satisfies the alternative dominance condition, the proposition holds for v.

6 Integer weights

6.1 Moderate sized integer weights

Matsui and Matsui [10] prove that a dynamic programming approach provides a psuedo-polynomial algorithm to compute Banzhaf indices of all players with time complexity $O(n^2q)$. Since q is less than $\sum_{i \in N} w_i$, the Banzhaf indices can be computed in polynomial time if the weight sizes are moderate.

6.2 Polynomial number of coefficients in the generating function of the WVG

Bilbao et al. [14] observe, for a WVG $v = [q; w_1, \ldots, w_n]$, that if the number of coalitions in which a player is critical is $b_i = |\{S \subset N : v(S) = 0, v(S \cup \{i\}) = 1\}|$ $= \sum_{k=q-w_i}^{q-1} b_k^i$, where b_k^i is the number of coalitions which do not include *i* and with total weight *k*, then the generating functions of the numbers $\{b_k^i\}$ are given by $B_i(x) =$ $\prod_{j=1, j\neq i}^{n} (1+x^{w_j}) = 1 + b_1^i x + b_2^i x^2 + \dots + b_{W-w_i}^i x^{W-w_i}.$ This was first pointed out by Brams and Affuso [15].

Bilbao et al. [14] prove that the computational complexity of computing Banzhaf indices by generating functions is $O(n^2C)$ where C is the number of non-zero coefficients in $\prod_{1 \le i \le n} (1 + x^{w_j})$. We note that C can be bounded by the sum of the weights but the bound is not tight. C can be relatively small even if the weight values are exponential in n. Therefore if a WVG has a generating function in which the number of non-zero terms is polynomial in n, then the computational complexity of computing the Banzhaf indices is in P.

7 Open problems & conclusion

In this paper we have characterized WVGs for which Banzhaf indices can be computed in polynomial time. It would be interesting to identify further important classes of WVGs which do not have exponential time complexity. The extensive literature on the subset-sum problem should offer guidance here. It appears an interesting question to analyse the expected number of terms in the generating function for sequential WVGs. Another challenging open problem is to devise an algorithm to compute exactly the Banzhaf indices of a general WVG in time complexity which is less than $O(n^22^{\frac{n}{2}})$.

Acknowledgment

Partial support for this research was provided by DIMAP (the Centre for Discrete Mathematics and its Applications). DIMAP is funded by the UK EPSRC. The first author would also like to thank the Pakistan National ICT R & D Fund for funding his research.

References

- A. Taylor and W. Zwicker, Simple Games: Desirability Relations, Trading, Pseudoweightings. New Jersey: Princeton University Press, 1999.
- [2] A. B. Urken, "Social choice theory and distributed decision making," in *Proceedings of the ACM* SIGOIS and IEEECS TC-OA 1988 Conference on Office Information Systems. New York, NY, USA: ACM Press, 1988, pp. 158–168.
- [3] B. Parhami, "Voting: A paradigm for adjudication and data fusion in dependable systems," in *Dependable Computing Systems: Paradigms, Performance Issues, & Applications*, H. Diab and A. Zomaya, Eds. Wiley, 2005, pp. 87–114.
- [4] J. von Neumann, "Probabilistic logics and synthesis of reliable organisms from unreliable components," in *Automata Studies*, C. Shannon and J. McCarthy, Eds. Princeton University Press, 1956, pp. 43–98.

- [5] E. Algaba, J. M. Bilbao, and J. Fernandez, "The distribution of power in the European Constitution," European Journal of Operational Research, vol. 176, no. 3, pp. 1752–1755, 2007.
- [6] G. Gambarelli, "Power indices for political and financial decision making: A review," Annals of Operations Research, vol. 51, pp. 1572–9338, 1994.
- [7] D. Leech, "An empirical comparison of the performance of classical power indices," *Political Studies*, vol. 50, no. 1, pp. 1–22, 2002.
- [8] D. Felsenthal and M. Machover, *The Measurement of Voting Power*. Cheltenham, UK: Edward Elgar Publishing, 1998.
- [9] K. Prasad and J. S. Kelly, "NP-completeness of some problems concerning voting games," Int. J. Game Theory, vol. 19, no. 1, pp. 1–9, 1990.
- [10] T. Matsui and Y. Matsui, "A survey of algorithms for calculating power indices of weighted majority games," *Journal of the Operations Research Society of Japan*, vol. 43, no. 7186, 2000, available at http://citeseer.ist.psu.edu/matsui00survey.html.
- [11] B. Klinz and G. J. Woeginger, "Faster algorithms for computing power indices in weighted voting games," *Mathematical Social Sciences*, vol. 49, no. 1, pp. 111– 116, 2005.
- [12] E. Horowitz and S. Sahni, "Computing partitions with applications to the knapsack problem," J. ACM, vol. 21, no. 2, pp. 277–292, 1974.
- [13] N. Chakravarty, A. M. Goel, and T. Sastry, "Easy weighted majority games," *Mathematical Social Sci*ences, vol. 40, no. 2, pp. 227–235, September 2000.
- [14] J. M. Bilbao, J. R. Fernandez, A. J. Losada, and J. J. Lopez, "Generating functions for computing power indices efficiently," *TOP*, vol. 8, no. 2, pp. 191–213, 2000.
- [15] S. F. Brams and P. J. Affuso, "Power and size: A new paradox," *Theory and Decision*, vol. 7, pp. 29– 56, 1976.