

# Branch and Bound Method for Scheduling Precedence Constrained Tasks on Parallel Identical Processors

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*Abstract*—The multiprocessor scheduling problem is one of the classic NP-hard optimization problems. This paper deals with the problem of scheduling tasks to parallel processors with the goal of minimizing execution time. The branch and bound algorithm produces a feasible IIT(inserted idle time) schedule for a fixed length  $T$ . In order to optimize over  $T$  we must iterate the scheduling process over possible values of  $T$ . The upper and lower bound on  $T$  is defined. New dominance criteria are introduced to curtail the enumeration tree. By this approach it is generally possible to eliminate most of the useless nodes generated at the lowest levels of decision tree. To illustrate the efficiency of our approach we tested it on randomly generated task graphs.

*Keywords:* multiprocessor scheduling, parallel processors, Branch and Bound algorithm, IIT (inserted idle time), task graph

## 1 Introduction

The problem of minimizing the makespan while scheduling tasks to parallel identical processors is a classical combinatorial optimization problem. Following the 3-field classification scheme proposed by Graham *et al.* [1], this problem is denoted by  $P|prec|C_{max}$ . Branch and bound algorithm is presented for the scheduling problem with tasks have to be executed on several parallel identical processors. This problem relates to the scheduling problem [2,3], it has many applications, and it is NP-hard [4]. Branch and bound method [5,6] allows to obtain an exact solution or, when the number of iterations is restricted, a fairly good approximation of the solution. A new method for evaluating partial solutions, selecting the next task and new ways of reducing the exhaustive search was designed. To illustrate the effectiveness of this approach we tested it on randomly generated task graphs.

We consider a system of tasks  $U = \{u_1, u_2, \dots, u_n\}$ . The execution time of each task  $t(u_i)$  is known. Precedence constructions between tasks are represented by a directed acyclic task graph

$G = \langle U, E \rangle$ .  $E$  is a set of directed arcs, an arc  $e = (u_i, u_j) \in E$  if and only if  $u_i \prec u_j$ . The expression  $u_i \prec u_j$  means that the task  $u_j$  may be initiated only after completion of the task  $u_i$ . Set of tasks is performed on parallel identical processors, any task can run on any processor and each processor can perform no more than one task at a time. Task preemption is not allowed. The usual objective function is completion time of the scheduled task graph also referred to as makespan or schedule length.

For this model, we can consider two problems. In the first problem the number of processors  $m$  is known and the goal is to minimize the execution time - makespan. In the second one the makespan is known, the goal is to minimize the number of processors. To solve these two problems, we can apply the same algorithm.

Subtask of these two problems is the task of constructing a feasible schedule for the given number of processors and the given execution time. A schedule for a task set  $U$  is the mapping of each task  $u_i \in U$  to a start time  $\tau(u_i)$  and a processor  $num(u_i)$ . Length of schedule  $S$  is the quantity

$$T_S = \max\{\tau(u_i) + t(u_i) | u_i \in U\}.$$

To solve this problem we apply the branch and bound method in conjunction with binary search. Branch and bound method constructs a feasible schedule  $S$  of length  $T$  for  $m$  processors. The algorithm may be used in a binary search mode to find the smallest number of processors or the smallest makespan. First we propose an approximate IIT algorithm named CP/IIT (critical path/inserted idle time). Then by combining the CP/IIT algorithm and  $B\&B$  method this paper presents BB/IIT algorithm which can find optimal solutions to parallel scheduling problem.

## 2 Approximate algorithm

A lot of research in scheduling has concentrated on the construction of nondelay schedule. A nondelay schedule is a feasible schedule in which no processor is kept idle at a time when it could begin processing a task. An inserted idle time schedule (IIT) has been defined by J.Kanet and

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V.Sridharam [7] as a feasible schedule in which a processor is kept idle at a time when it could begin processing a task. We propose an approximate IIT algorithm named CP/IIT (critical path/ inserted idle time).

For each task  $u_i$ , we define the earliest starting time  $v_{min}(u_i)$  and the latest start time  $v_{max}(u_i)$ . The earliest starting time is numerically equal to the length of the maximal path in the graph  $G$  from the initial vertex to the vertex  $u_i$ . The latest start time  $v_{max}(u_i)$  of task  $u_i$ , is numerically equal to the difference between the length of the required schedule  $T_S$  and the length of the maximal path from the task  $u_i$ , to the final vertex. The latest start time  $v_{max}(u_i)$  of task  $u_i$  is a priority of task. Let  $k$  tasks are put in the schedule and partial schedule  $S_k$  is constructed.

Let be  $time_k[i]$  the time of the termination of the processor  $i$  after completion all its tasks. The approximate schedule is constructed by CP/IIT algorithm as follows:

1. Determine the processor  $l_0$  such as  
 $t_{min}(l_0) = \min\{time_k[i] | i \in 1..m\}$
2. Select the task  $u_0$ , such as all its predecessors are included in the schedule and  
 $v_{max}(u_0) = \min\{v_{max}(u_i) | u_i \notin S_k\}$
3. If  $r_0 = v_{min}(u_0) - t_{min}(l_0) > 0$  then choose a task  $u^* \notin S_k$ , which can be executed during the idle time of processor without increasing the start time of the task  $u_0$ , namely  $v_{min}(u^*) + t(u^*) \leq v_{min}(u_0)$ .
4. If the task  $u^*$  is found, then we assign the task  $u^*$  to the processor  $l_0$  otherwise we assign the task  $u_0$  to the processor  $l_0$ .

In order to examine the effectiveness of CP/IIT algorithm we tested it on randomly generated task graph. The results are shown in Table 1.

### 3 Branch and bound method for constructing a feasible schedule $BB(U, T, m; S)$

For the formal description of the branch and bound method we must give a definition of partial solutions. It is convenient to represent the schedule as a permutation of jobs. For each permutation of tasks  $\pi = (u_{i_1}, u_{i_2}, \dots, u_{i_n})$ , one can construct a schedule  $S_\pi$  as follows: to find the earliest time of the release of processors  $t_{min}$ , to find the processor that was released at this time, then the task is assigned to the processor at the earliest possible time, but not before  $t_{min}$ , then every permutation will uniquely identify the schedule  $S_\pi$ . Partial solution  $\sigma_k$ , where  $k$  the number of jobs will be regarded as a partial permutation  $\sigma_k = (u_{i_1}, u_{i_2}, \dots, u_{i_k})$ , which is determined partial schedule.

**Definition 1** The solution  $\gamma_n = (l_1, l_2, \dots, l_n)$  is called the extension of partial solutions  $\sigma_k = (q_1, q_2, \dots, q_k)$ , if  $l_1 = q_1, l_2 = q_2, \dots, l_k = q_k$ .

**Definition 2** A partial solution  $\sigma_k$  is called a feasible if there exists an extension of  $\sigma_k$ , which is a feasible schedule.

For each task  $u_i$ , we define the earliest starting time  $v_{min}(u_i)$  and the latest start time  $v_{max}(u_i)$ . In order to make the feasible schedule, it is necessary that each task  $u_i \in U$ , the start time of its execution  $\tau(u_i)$  satisfies the inequality

$$v_{min}(u_i) \leq \tau(u_i) \leq v_{max}(u_i).$$

In order to describe the branch and bound method it is necessary to determine the set of tasks that we need to add to a partial solution, the order in which task will be chosen from this set and the rules that will be used for eliminating partial solutions.

#### 3.1 Selection of task

Let  $I$  be the total idle time of processors in a feasible schedule  $S$  of length  $T_S$  for  $m$  processors, then  $I = m \cdot T_S - \sum_{i=1}^n t(u_i)$ . For a partial solution  $\sigma_k$  we know  $r(u_i)$ — idle time of processor before start the task  $u_i$ . Let  $I_k$  be the remaining pool of idle for a partial solution  $\sigma_k$ . Then  $I_k = I - \sum_{i=1}^k r(u_i)$ . We know the completion time of processors  $time_k[1 : m]$ . Denote  $t_{min}(k) = \min\{time_k[i] | i \in 1 : m\}$  then  $t_{min}(k)$  is the earliest time of ending all tasks were included in a partial solution  $\sigma_k$ .

At each level  $k$  will be allocated a set of tasks  $U_k$ , which we call the possible assignments. These are tasks that need to add to a partial solution  $\sigma_{k-1}$ , so check all the possible continuation of the partial solutions.

**Definition 3** Task  $u \notin \sigma_k$  is called the ready task at the level  $k$ , if all its predecessors were included in the partial solution  $\sigma_k$  and the earliest starting time  $v_{min}(u)$  satisfies the inequality  $v_{min}(u) - t_{min}(k) \leq I_k$ .

**Task selection procedure**  $Select(U_k, t_{min}(k); u_0)$

From the set  $U_k$  we choose task  $u_0$  with the minimum latest start time. If before beginning this task processor will be idle we are trying to find a task that can be performed during idle time of processor.

1. Select the task  $u_0$ , such as  
 $v_{max}(u_0) = \min\{v_{max}(u_i) | u_i \in U_k\}$ .
2. If  $r_0 = v_{min}(u_0) - t_{min}(k) > 0$  then choose a task  $u^* \in U_k$ , which can be executed during the idle time of processor without increasing the start time of the task  $u_0$  namely,  $v_{min}(u^*) + t(u^*) \leq v_{min}(u_0)$ .

3. If the task  $u^*$  is found, then we assign the task  $u^*$  to the processor otherwise we assign the task  $u_0$  to the same processor.

### 3.2 Deleting of invalid partial solutions

The main way of reducing of the exhaustive search will be the earliest possible identification unfeasible solutions.

**Definition 4** Let the task  $u_{cr} \notin \sigma_k$  is such as  $v_{max}(u_{cr}) = \min\{v_{max}(u)|u \notin \sigma_k\}$ . The task  $u_{cr} \notin \sigma_k$  is called the delayed task for  $\sigma_k$ , if  $v_{max}(u_{cr}) < t_{min}(k)$ .

**Lemma 1** Let delayed task  $u_{cr}$  for a partial solution  $\sigma_k = \sigma_{k-1} \cup u_k$  exists, then:

1. The partial solution  $\sigma_k$  is unfeasible.
2. For any task  $u \in U_k$ , such that  $\max\{t_{min}(k-1), v_{min}(u)\} + t(u) > v_{max}(u_{cr})$  a partial solution  $\sigma_{k-1} \cup u$  is unfeasible.
3. If  $v_{max}(u_k) < t_{min}(k)$  and  $t_{min}(k-1) + t(u_{cr}) > v_{max}(u_k)$ , then the partial solution  $\sigma_{k-1}$  is unfeasible.

Another method for determining unfeasible partial solutions based on a comparison of resource requirements of tasks and total processing power. In this case we propose to modify the algorithm for determining the interval of concentration [8] for the complete schedule. We apply this algorithm to a partial schedule  $\sigma_k$  and determine its admissibility.

We consider time intervals  $[t_1, t_2] \subseteq [t_{min}(k), T_S]$ . Let  $MP(t_1, t_2)$  be the total time of free processors in time interval  $[t_1, t_2]$  then

$$MP(t_1, t_2) = \sum_{i=1}^m \max\{0, (t_2 - \max\{t_1, time_k[i]\})\}.$$

For all task  $u_i \notin \sigma_k$  we find minimal time of its begin:  $v(u_i) = \max\{v_{min}(u_i), t_{min}(k)\}$ . Let  $L([t_1, t_2])$  be a length of time interval  $[t_1, t_2]$ .

Let  $M_k(t_1, t_2)$  be the total minimal time of tasks in time interval  $[t_1, t_2]$ , then

$$M_k(t_1, t_2) = \sum_{u_i \notin \sigma_k} \min\{L(x_k(u_i)), L(y(u_i))\},$$

where

$$x_k(u_i) = [v(u_i), v(u_i) + t(u_i)] \cap [t_1, t_2],$$

$$y(u_i) = [v_{max}(u_i), v_{max}(u_i) + t(u_i)] \cap [t_1, t_2].$$

Let

$$est(\sigma_k) = \max_{[t_1, t_2] \in [t_{min}(k), T_S]} \{M_k(t_1, t_2) - MP(t_1, t_2)\}$$

**Lemma 2** If  $est(\sigma_k) > 0$  then a partial solution  $\sigma_k$  is unfeasible.

The pseudo-code of Branch and bound method for constructing a feasible schedule  $BB(U, T, m; S)$  is shown in Algorithm 1.

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#### Algorithm 1 BB/IIT algorithm

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- 1: Set  $k := 1; time[i] = 0; i \in 1 : m; \sigma_0 = \emptyset;$
  - 2: **while** ( $k > 0$ ) **and** ( $k < n + 1$ ) **do**
  - 3: Determine the processor  $l_0$  such as  $t_{min}(l_0) = \min\{time_k[i] | i \in 1..m\}$
  - 4: Determine the task  $u_{cr}$  such as  $v_{max}(u_{cr}) = \min\{v_{max}(u) | u \notin \sigma_{k-1}\};$
  - 5: **if**  $v_{max}(u_{cr}) \leq t_{min}(l_0)$  **then**
  - 6: Compute  $EST = est(\sigma_{k-1});$
  - 7: **if**  $EST \leq 0$  **then**
  - 8: Select the task  $u_0$ , use procedure  $Select(U_k, t_{min}(k); u_0)$
  - 9: Set the task  $u_0$  on processor  $l_0$  and create partial solution  $\sigma_k = \sigma_{k-1} \cup u_0$
  - 10: **else**
  - 11: Perform step back and create partial schedule  $\sigma_{k-1}$
  - 12: **else**
  - 13: There is delayed task  $u_{cr}$ . Delete all unfeasible partial solution by using Lemma 1
  - 14: **end if**
  - 15: **end if**
  - 16: **end while**
  - 17: **if**  $k = 0$ , **then**
  - 18: Makespan of optimal schedule is greater then  $T_S$ .
  - 19: **end if**
  - 20: **if**  $k = n$ , **then**
  - 21: We find feasible schedule  $S = \sigma_n$  and its makespan is equal  $T_S$
  - 22: **end if**
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## 4 Computation result

To test method and efficacy evaluations we conducted computational experiment. In the computational experiment, we intended to test the  $BB/IIT$  algorithm and to test the effectiveness of methods to remove invalid partial solutions. For branch and bound method to build a feasible solution, it had to accomplish the task in less than 60 seconds. If a feasible schedule  $S$  of length  $T$  for  $m$  processors was not received for 60 seconds, it was assumed that this does not exist and makespan  $T$  increased. This approach provided a schedule for all test

problems, but whether or not the solutions obtained are exact or approximate remains an open question. Therefore, the quality of the solutions was estimated against to the lower estimate of the makespan  $LB$ . Lowbound  $LB$  of length optimal schedule is

$$LB = \max\{t_{cp}, \lceil \sum_{i=1}^n t(u_i)/m \rceil\},$$

where  $t_{cp}$  is the length critical path in task graph. To illustrate the effectiveness of our algorithm we tested it on two types graphs.

In the first group a set of task graph were generated using a random task graph generator with 50, 100,150 tasks. The processing time of the tasks has been chosen randomly from the interval [1:50]. In the second one we used Standard Task Graph Set which is available at <http://www.kasahara.elec.waseda.ac.jp/schedule/>.

In the first group we divided all tests on 5 series. There are 100 tests in series. We compare the length  $Z_0$  of initial solution (obtained by CP/IIT algorithm) and the length  $Z_{CP}$  of initial solution obtained by CP algorithm.

Comparative results are presented in Table 1. The first column of this table contains the name of series tests, in the second one  $m$  is a number of processors. The third one contains the relative error of initial solution  $RZ = (Z_0 - LB)/LB$  and the fourth one contains the relative error  $RT = (T - LB)/LB$ , where  $T$  is the length of schedule obtained by BB/IIT algorithm. Then the fifth column contains the relative error of initial solution  $RCP = (Z_{CP} - LB)/LB$  and the the last one contains the relative error  $RTCP = (T_{CP} - LB)/LB$  where  $T_{CP}$  is the the length of schedule obtained by B&B algorithm with select procedure CP.

Table I. Average data for any series of tests.

| Series  | $m$ | $RZ$  | $RT$  | $RCP$ | $RTCP$ |
|---------|-----|-------|-------|-------|--------|
| s1      | 3   | 0.087 | 0.006 | 0.062 | 0.004  |
| s2      | 4   | 0.062 | 0.013 | 0.095 | 0.011  |
| s3      | 5   | 0.161 | 0.021 | 0.177 | 0.028  |
| s4      | 5   | 0.128 | 0.057 | 0.248 | 0.143  |
| s5      | 5   | 0.042 | 0.007 | 0.105 | 0.022  |
| Average |     | 0.096 | 0.021 | 0.137 | 0.042  |

Approximate solution with the error  $RZ$  of less then 10 percent in average were obtained by CP/IIT algorithm. The average relative error of schedules obtained by BB/IIT algorithm is equal 2 percent.

Table 2 shows the results (percent of optimal solutions found) for the first group of instances. The column  $N_{opt}$  shows the cases (in percents) where optimal schedules were obtained by BB/IIT method. The next column

shows the number of cases (in percents) in which approximate solutions within the error of 0.05 were obtained, but optimal solutions could not be obtained because of CPU time limit. But an intermediate solution can be an optimal solution. The next two columns shows the number of cases in which  $RT \in (0.05, 0.1]$  and  $RT$  greater then 0.1.

Table II. Results for the relative error of makespan of schedule.

| Series  | $m$ | $N_{opt}$ | $RT < 0.05$ | $RT < 0.1$ | $RT > 0.1$ |
|---------|-----|-----------|-------------|------------|------------|
| s1      | 3   | 56        | 26          | 14         | 4          |
| s2      | 4   | 70        | 19          | 11         | 0          |
| s3      | 5   | 61        | 23          | 15         | 1          |
| s4      | 5   | 57        | 29          | 11         | 0          |
| s5      | 5   | 69        | 30          | 17         | 3          |
| Average |     | 62.6      | 23.4        | 13.6       | 1.6        |

It is seen from Table 2 that optimal solution were obtained for 63 percent (in average) of the cases tested. For 86 percent of the cases approximate solutions having error of less than 5 percent were obtained.

In the second group of tests we use tests from Standard Task Graph Set. Standard Task Graph Set is a kind of benchmark for evaluation of multiprocessor scheduling algorithms, where optimal decisions are known. We considered tests from Standard Task Graph Set with  $n=50$  and  $n=100$ , where  $n$  is the number of tasks. Optimal schedules were found by BB/IIT algorithm in 95 percent tests with  $n=50$  and in 89 percent tests with  $n=100$ .

## 5 Conclusion

In this work we proposed a new branch and bound method for solving the multiprocessor scheduling problem of makespan minimization. We also presented a new approximate IIT (inserted idle time) algorithm. We found that the minimum execution time multiprocessor scheduling problem can be solved within reasonable time for moderate-size systems. With an increasing number of tasks, branch and bound method requires more time to obtain the optimal solution. Limiting the number of iterations seems justified and promising way to obtain a good approximate solution. Computer experiment confirmed efficiency of branch and bound method with this restriction.

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