A Practical Approach of Fairness in E-assessment

Debajyoti Konar

Abstract—In this paper we present a practical approach of fairness in E-assessment. A generalized model of E-assessment is also presented here. The paper also presents a GSR Fair Exchange protocol for E-assessment that ensure fairness in true sense without using an additional trusted third party. A detailed analysis of the properties is also presented in this paper. We conclude this paper by indicating future area research.

Keywords: E-assessment, Fairness in true sense, GSR Fair Exchange Protocol, Trusted Third Party

I. INTRODUCTION

The distance education, delivery of course materials and assessment is shifted to E-delivery for low cost and high efficiency. Since transactions in such cases transcend the boundaries of states and countries, it may become difficult to trace maliciously behaving transacting parties. Moreover, since transactions are made over public channels such as the world-wide web, information security becomes a major barrier to the success of such cases. This situation leads to major research efforts on information security services, viz., confidentiality, integrity, availability, authentication and non-repudiation. Among these, non-repudiation is a security service that creates, collects validates and maintains the cryptographic evidences to support settlement of possible dispute among the transacting parties. The transacting parties will have more confidence in taking part in E-assessment with the provision of non-repudiation service. During these exchanges in the protocol, a non-repudiation service protects all transacting parties from false denial of having been involved in the transaction. The fairness of these protocols of transaction is the way that guarantees that either all the parties obtained what they want or none do. The issue of fairness is becoming increasingly important in fast growing scenario of E-learning, E-assessment, E-governance etc. The current proliferation of on-line activities makes it difficult for a user to establish the credibility of a counter party in a commercial transaction on the internet. So E-assessment protocols are required to provide mutual guarantees to the protocol participants and ensure fairness. As a result, fairness of these protocols has become an important field of research. A common approach to ensure fairness is to include a Trusted Third Party (TTP) in the transactions, in Inline, Online or Offline mode. In many of these TTP-based protocols, some important application specific properties are maintained. But, the subscription to and maintenance of such TTPs are costly. In a second approach, instead of using a TTP, Secrets are released gradually resulting in so-called Gradual Secret Release (GSR) protocols. But, most of such protocols fail to provide application specific properties. The challenge is to develop GSR protocols with acceptable fairness for e-assessment activities, which satisfy the application specific properties. Availability of E-payment systems and online banking coupled with the popularity and rising demand of e-learning, provides the motivation of this research. In this paper we describe briefly some previous work in section 2. The section 3 outlines fairness in E-transaction by defining the most required terms and providing symbols and notations and section 4 presents the approaches to achieve the practical fairness in E-learning. Then we present a model to develop GSR fair exchange protocols for E-learning and also a Practical Fair exchange GSR Protocol in section 5. Section 6 concludes the paper by listing some area of applicability and indicating future scope of work.

II. PREVIOUS WORK

In this section we discuss briefly some related work in providing fair-exchange broadly in E-commerce or in other E-transactions. In previous works, trusted third party (TTP) is used in most of the fair exchange protocols either in offline mode or in online mode. By using the third party in off-line mode, the optimistic fair exchange protocols have a considerable contribution in the field of fair exchanges in E-commerce. There are some GSR protocols for fair exchange in which the participants increase the probability of fair exchange gradually over several rounds of message exchanges. The idea of using a trusted third party in on-line mode to obtain non-repudiation of origin and delivery of an email message was proposed by Deng et al. [3] and Zhou and Gollmann [5]. In essence, these protocols are similar. An E-Payment Protocol to Realize Fair-Exchange by Q.Zhang, K. Mayes, K. Markantonakis et. al in 2004 [17] has been designed to provide a user centric m-payment solution over internet by ensuring fair exchange, customer’s anonymity and implementing an embedded biometric authentication framework for high security requirement. Using an on-line trusted third party the protocol involves twelve message exchanges. The protocol proposes the sensitive information, viz., user’s private key etc are to be stored in SIM card and non-repudiation of the origin (NRO) for the request and response is achieved by digital signatures using the sender’s private keys. The protocol has no conflict with customer’s anonymity property. The correctness of the product is assured by theory of cross validation within this protocol. But maintaining on-line third party makes the protocol costly in implementation and use.
There are several fair exchange protocols that use third party in offline mode, when it is required and hence they are optimistic fair exchange protocol. These protocols are designed either to sign a contract or to purchase a digital product. An Optimistic Contract Signing Protocol [7] has been designed by Asokan, Shoup and Waidner to provide a service to Originator and Responder for obtaining each other’s commitment on a previously agreed. The protocol consists of three interdependent sub-protocols, viz., Exchange sub-protocol, Abort sub-protocol and Resolve sub-protocol. This asynchronous protocol, in essence, a fair exchange protocol involves three participating parties, viz., originator (O), Responder (R) and trusted third party (T). As it is a contract signing protocol, the protocol does not consider the anonymity property for any transacting party and also the correctness of the text property. On the other hand there are some effective works to provide the fair exchange protocols for purchasing of digital goods through E-commerce. An Anonymous Fair Exchange E-commerce Protocol [11] by Ray and Ray uses customer’s bank, merchant, merchant’s bank and an additional offline TTP as transacting parties to achieve fairness, correctness of the product and customer’s anonymity properties. An Optimistic Anonymous Protocol with Validated Receipt J. Ray et al [20] also involves customer (C), merchant (M) and customer’s bank (B), along with an additional offline TTP to achieve fairness and validated receipt properties. Both the protocols are for E-trading. The GSR protocols have extensive communication requirements. On the other hand the cost of maintaining third party is nil, which makes these protocols cost effective in implementation for E-commerce. The GSR protocol presented by Blum [2] can be used in conjunction with digital signatures to sign contracts and send certified emails. This protocol provides a mechanism to exchange secrets between two parties. To motivate the participants to behave fairly in the transaction Sandholm and Lesser use game theory in their work [4]. The authors propose a contracting protocol, which is in essence a fair exchange protocol. To ensure fairness in contracting, the protocol allows any player to pay a penalty and withdraw from a contract during the execution. This game theoretic approach in the protocol assumes that all the participants behave rationally, but without a very strong reason to behave rationally, it is too daring a assumption.

III. DEFINATIONS & NOTATIONS

To present the definitions we refer the principal parties in message exchange as originator or sender in one side and responder or recipient of message in other side. Here we also refer another participating party in message exchange, which is trusted third party.

Fairness: An important property in these non-repudiation protocols is fairness with which neither party can gain an advantage by quitting prematurely or otherwise misbehaving during a transaction.

Money Transfer Instruction (MTI): An instruction issued by any transacting party of the protocol to his/her bank consisting the information regarding the amount to be transferred, the account in which the amount is to be debited and the account in which the amount is to be credited.

Examination Requisition (ER): In the scope of this E-assessment ‘Examination Requisition’ can be defined as a message containing the information regarding the examination of a course, the student intends to appear, the price of the digital examination kit, identity of the student.

Digital Demand Draft or Pay-order (P): In this protocol ‘Digital Demand Draft or Pay-order’ can be defined as a message containing the information regarding the amount and currency that is to be credited, the account in which the payment is to be credited and a nonce to prevent the replay.

Correctness of Examination Kit: It is a property of an E-assessment protocol to ensure that the digital examination kit the student is about to receive from an institute, is the same as the student intended to subscribe, before the student pays for it [11].

Notations: In this paper the following notations have been used:

- $T_i$: Transaction involving purchase of m
- $A_{priv}, A_{pub}$: A’s private and public keys
- $A_{priv}, A_{pub}$: A’s private and public keys for $T_i$
- $A \rightarrow B: X$: A sends X to B
- $[X,K]$: Encryption of X with key K
- $CC(X)$: Cryptographic checksum of X
- $c$: The digital Exam-Kit
- $ER$: Exam Requisition by the student
- $MTI$: Money Transfer Instruction
- $P$: Pay Order or Digital Draft
- $ack$: Acknowledgement message
- $rcpt$: Receipt of message
- $rcpt(ack)$: Receipt of acknowledgement
- $final_accept$: Final acceptance of the payment

IV. APPROACHES FOR ACHIEVING PRACTICAL FAIRNESS

Gartner, Pagnia and Vogt approached a formal definition of fairness in E-commerce [26] in 1999. They considered strong fairness in E-commerce as form of fairness which can be ensured completely within the system without additional assumptions about participating nodes. A probabilistic approach to define the fairness of a fair exchange protocol has also been considered by the researchers. An E-commerce protocol is e-fair [12,15] if and only if the probability that sender got the NRR evidence for the message and the recipient got the corresponding message, as well as the NRO evidence for this message or none of them got any valuable information, is $> (1-e)$.

Zhou specifically defined the fairness in 2001 [9] as a property which provides the originator and the recipient with valid irrefutable evidence after completion of the protocol, without giving a party an advantage over the other
parties in any possible incomplete protocol run. In 2002, Kremer et al categorically presents a definition of strong and weak fairness [15]. A Fair exchange protocol is said to be the provider of strong fairness if and only if at the end of a protocol execution either one party got the non-repudiation of receipt evidence for a message and the other got the corresponding message as well as the non-repudiation of origin evidence for this message, or none of them got any valuable information [15]. Otherwise the protocol is said to be the weak fairness provider. There are concepts of strong, eventually strong and weak fairness in [26] too. In their definition, weak fairness allows sufficient evidence to be gathered during the protocol execution to resolve the conflicts outside the system. Keeping the above definitions of fairness and their forms in view, a practical form of fairness is defined in namely, fairness in true sense. In particular, to hold fairness in true sense [27], a NR protocol is required to ensure the following:

(a) one party is not able to deny to send the digital content what s/he supposed to send
(b) the other party is not able to deny the receipt of the digital content what s/he received
(c) either party is able to have the correct digital content against his/her own digital content.

A fair exchange protocol should not give the originator an advantage over the recipient or vice-versa. Fairness is a complex term and has been used in many different areas with different connotation. In E-commerce, it refers to a property that does not discriminate any party on getting advantage during the transaction. Approaches for fair exchange reported in existing literature mainly are of two categories.

(i) TTP Protocols: which use inline, online or offline trusted third party to achieve fair exchanges. There are several published literatures, which provide protocols with TTP [6,7,8,10,11,13,14,16,18,19,22- 25].

(ii) GSR Protocols: Zhou defined the Gradual Exchange Protocol [9] as a protocol where two parties gradually disclose the expected items in many steps. Gradual Exchange approach can be utilized without any third party in achieving fairness. Classically, in Gradual Exchange approach the transacting parties release their keys (referred as secrets) bit by bit.

In this paper, the term Gradual Secret Release approach or GSR is used in a much generalized context. The term ‘secret’ refers to the expected message; not specifically the keys only. Accordingly, here GSR Protocol is defined as a protocol in which transacting parties gradually disclose the expected messages step by step. Though the number of GSR protocols in published literatures is considerably less than the number of protocols with TTP, there are some GSR protocols [1,2, 5,21] for signing contract or trading the goods.

V. MODEL TO DEVELOP GSR FAIR EXCHANGE PROTOCOL FOR E-ASSESSMENT

In the scenario of E-transaction an E-learning protocol should hold fairness in true sense and in addition to that it should hold money atomicity and correctness of the examination kit properties. Involving Student (S), Institute (I), Student’s Bank (SB) and Institute’s Bank (IB) as transacting parties, here a methodology is proposed to develop a GSR Fair Exchange Protocol for E-learning. The model naturally does not involve an additional TTP. Method for developing a GSR Fair Exchange Protocol for E-assessment includes four building blocks viz. Building Assumption, Placing Examination Requisition, Paying Fees and Delivering Examination Kit.

![Fig.-1: Model to develop GSR Fair Exchange protocols for E-assessment](image)

Here the paradigms of different building blocks are being presented.

**Building Assumption:** Building the assumptions regarding technical infrastructure to of the protocol such as:

a. The institute hosts its digital examination kit, encrypted with a key in the form of [c, Kf] along with all of its details, like, fees, terms and conditions, detailed structure of the examination etc. in its own website so that the students can download it.

b. It is assumed that the student has an account with the student’s bank and the institute has an account with the institute’s bank. It is also assumed that the corresponding banks behave rationally by maintaining all type of confidentiality of their account holders for the business. Here the Banks are being used for financial transactions only.

c. It is assumed that the key distribution scheme for the proposed protocol is secure and identity of any party can not be revealed only by the IP address. It is also assumed that, the scheme of encryptions is strong enough to provide the integrity of messages and signatures and it is same for all transacting parties.
d. It is assumed that each party keeps a copy of each message, s/he is sending and the technical infrastructure is strong enough to handle the communication requirements for the message exchanges in the protocol and is fail-safe to handle the log records corruption in any site.

e. The fixed period for time-out is known to all concern parties.

Placing Examination Requisition: This includes both the placing of requisition for the digital examination kit (c) by student and accepting the requisition by the institute. The method includes the following activities:

a) Student has to prepare a Examination Requisition and place it to Institute.

b) Institute has to encrypt the product taking the asymmetric encryption mechanism ‘Theory of Cross Validation’, and has to accept the requisition.

Paying the Fees: In this module both the student’s bank and Institute’s bank have to be used for financial transaction. We outline the activities of this module as follows:

a) Student has to issue the MTI to his/her bank mentioning the institute’s account information.

b) The student’s bank has to prepare pay-order and directly send to the institute’s account in institute’s bank.

c) Student and Institute have to get the payment information from their respective banks, beside their own transaction.

Delivering Digital Examination: This module includes two major activities; the delivery of the digital examination kit and the acceptance of delivery. The activities can be outlined as follows:

a) Institute has to prepare a message to send the decryption key taking theory of cross validation as encryption mechanism.

b) Decrypting the digital course student has to send the acceptance message.

Starting from the initial state, say S₀, and ending at final state, say Sₙ, each of the above activities will lead the system through fair states, making the GSR protocol fair in true sense as a whole.

A GSR Fair Exchange protocols for E-assessment on demand:

The protocol starts when the student (S) enters into the website of the Institute (I) to have the details of the examination and being satisfied describes to subscribe it. After that the protocol may be described as follows:

1) I → S: [c, Kᵢ₁], Ipub; /*S selects a examination kit c from I’s website*/

2) S → I: [E, Kᵢₚub], Ipub; /*S places the Examination Requisition to I*/

3) I → S: [Abort, Ipub]; /*I aborts*/

Or

1) I → S: [CC(ER), Iiprv] [Iacb, IBpub] [CC([CC([Kᵢ₁, Kᵢ₂]), Iipub[)], Kᵢ₂)]; /*S instructs SB to prepare pay-order and to send it to IB*/

2) SB → I: [P, IBpub]; /*SB sends the pay-order to IB*/

3) IB → SB: [ack, IBpub]; /*S forwards the pay-order to SB*/

4) SB → I: [Failure, IBpub]; /*IB sends acknowledgement of payment-clearance to SB*/

5) I → S: [c.r, IBpub]; /*S forwards the copy of acknowledgement to S*/

6) SB → I: [S, IBpub]; /*IB sends the pay-order to S*/

7) I → S: [CC([Iacb, IBpub]), Iiprv]; /* receiving the pay-order to I*/

8) I → S: [cc, Kᵢ₂], Ipub; /*S sends receipt of decryption key to I*/

9) I → S: [cc, IBpub]; /*S sends copy of pay-order to S*/

10) I → S: [ack, IBpub]; /*IB sends acknowledgement of payment-clearance to S*/

11) I → S: [S, IBpub]; /*IB forwards a copy of acknowledgement of payment-clearance to S*/

12) S → I: [ack, IBpub]; /*S sends receipt of acknowledgement to S*/

13) I → S: [Iacb, IBpub]; [CC([Kᵢ₂⁻¹]), Iipub]; /*I sends decryption key to S*/

14) S → I: [cc, IBpub]; /*S sends receipt of decryption key to I*/

15) I → S: [cc, IBpub]; /*I sends final acceptance and payment receipt to S*/

VI. ANALYSIS OF PROPERTIES

As designed our proposed protocol is not using any third party not even in offline mode. It uses gradual secret release technique to provide the fairness in true sense without offering any advantage to either the customer or the merchant. The protocol holds a property, by which the correctness of examination kit is being ensured to the customer. The protocol also provides the money atomicity property. Here we propose that the protocol holds the above said properties.

Fairness: Regarding this property in this protocol a practical form of fairness has been defined above, namely, fairness in true sense. We have to show that, neither party, participating in the protocol can gain an advantage by misbehaving during a transaction. Let us consider the contradiction, i.e. some parties can gain advantages within the scope of protocol. To disprove this let us consider the following cases:

Case 1: Let the institute misbehaves by denying the receipt of payment. But, in this protocol the student (S) is getting the information from his/her bank that the exact payment has
been sent to the institute’s account through message 6. Again, by message 11 the student is getting signed copy of the acknowledgement from his/her bank (SB) regarding the encashment of the payment into institute’s account, signed by institute’s bank (IB), which student’s bank (SB) is getting from institute’s bank (IB) through message 8. So the student (S) have two important documents, viz., [[ack, IB prv], SB prv] & [P, SB pub], which can legally prove that the student has done the payment to institute’s account in institute’s bank.

These facts lead to a situation where institute (I) is not in an advantage such that the student can deny the receipt of payment.

**Case 2:** Let the student intends to subscribe the course and misbehaves by denying the payment. But, as described in the protocol the student (S) is issuing the payment transaction to his/her bank (SB) and the bank is sending the payment to institute’s bank, not to the institute. The student receives only the copy of a payment details form his/her bank. So, if the student intends to subscribe a examination kit s/he has to instruct his/her bank to pay and the payment is getting credited in institute’s account in institute’s bank directly.

These facts show that it is not possible to deny the payment by the student if s/he intends to subscribe a course.

**Case 3:** Let the student does not receive the correct examination kit but the institute gets the correct payment. But, as described in the protocol the student initially downloads [c,K1] from the institute’s website. Before paying for the course, the student also receives a copy of encrypted examination kit from the institute in the form of [c,K1 x K2], [r,K1], where c.r is the product of c and r. The customer multiplies [c,K1] with [r,K1] and the resulting product is compared with [cr,K1 x K2]. For any two messages m, m', n1, n2, [m, K1 x K2] = [m, K1] mod n1 iff m' = m, and [m, K1 x K2] = [m, K2] mod n2 iff m' = m. If both match in the above said comparison, the student is confident that the examination kit s/he is about to receive from the institute, is the same as the examination kit s/he demanded, before paying for a course. Hence the protocol satisfies the correctness of examination kit property.

**Money Atomicity:** To show that the proposed protocol satisfies the Money Atomicity property, we have to show that, within the scope protocol the pay-order is neither created nor destroyed during the execution of the protocol. To do so, let us consider the contradiction, i.e. the pay-order can be created or destroyed during the execution of the protocol. To disprove this let us consider the following cases:

**Case 1:** Let the pay-order can be created in two different ways, viz., using the same pay-order to get credited in the institute’s account for multiple times by student and using the same pay-order to get multiple courses by student respectively. Both the cases are the pay-order is being replayed. But as described in the protocol, a nonce value is used within the pay-order to forestall these replays. Also in the protocol, the pay-order prepared by the student’s bank against the instruction of the student and is being sent to the institute’s bank for crediting the specified amount to the institute’s account. The institute receives only copy of payment details and the pay-order is directly received by the institute’s bank from the student’s bank. Neither the student nor the institute gets the pay-order directly in their hands. Thus the pay-order can not be created within the scope protocol.

**Case 2:** Let the pay-order can be destroyed in two different ways, viz., not using the pay-order by the institute to get credited in the institute’s account or by loosing the pay-order by the institute before getting it credited. But as described in the protocol, the student instructions his/her bank to prepare the pay-order and send it to the institute’s bank for crediting the specified amount to the institute’s account. The institute receives only copy of payment details from the student and the pay-order is directly received by the institute’s bank from the student’s bank. So, there is no scope that the pay-order can be destroyed. Thus the above two cases contradict that the pay-order can be created or destroyed during the execution of the protocol. Hence, by Involution Law of propositional logic, the protocol satisfies the money atomicity property.

**VII. CONCLUSION**

In the current scenario of E-assessment, fair exchange is one of the pertinent issues and it is to be addressed by all type of E-assessment protocol. Along with the fairness, one of the important objectives E-learning protocols is to ensure money atomicity and correctness of examination kit property within the scope of protocol. Majority of the protocols proposed in the literature rely on trusted third party to provide the said properties. Whether the protocol is offline or online, the cost to maintain the trusted third party is a major concern in the implementation. Keeping these in mind, in this paper we proposed a GSR Fair Exchange
Protocol for E-assessment that ensures fairness in true sense without using an additional trusted third party. The properties of the protocol also include money atomicity, correctness of the examination kit. Here, we provided a detailed analysis of the properties. As per knowledge there is no other E-assessment protocol which offers all these properties without using an additional third party. However, in future a lot of improvement remains to be done. We plan to check the feasibility of operation of this protocol in conjunction with other protocol. We also plan to study the performance of the protocol by applying different load of transaction, which will help to optimize the protocol. We believe our work in this paper will extend the area of applicability of Fair Exchange protocol in E-transaction and strengthen the GSR approach to develop the Fair Exchange protocol so that people can participate in such transaction with more assurance.

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