## A Breath-activated, Precision Bolus Injection System for Human Inhalation-exposure and Drug Delivery Studies

\*G.P.P. Gunarathne, V. Koujalagi, S. Semple, J.G. Ayres.

\*School of Engineering, The Robert Gordon University UK, \*E-mail: <u>g.gunarathne@rgu.ac.uk</u> (Corresponding author) Department of Environmental and Occupational Medicine, University of Aberdeen, UK.

Abstract—This paper presents the work carried out in developing a precision, bolus injection system to study the effect of regional deposition of fine particulate matter (PM) within the human respiratory system and to investigate the optimal conditions for inhaled drug delivery. In contrast to existing methods, the new system computes parameters necessary and injects PM into breath cycles by a process of dynamic breadth pattern analysis and statistical data relating to stored PM to achieve a highly controlled delivery within specified exposure times and intervals.

# *Index Terms*— Air pollution, Bolus injection, Nanoparticles, Particulate matter, Respiratory disorders

## I. INTRODUCTION

Exposure to ambient air pollution is associated with health effects with increases in both mortality and morbidity on a day-to-day basis (1). These effects are largely seen in patients with underlying cardio-pulmonary disease although why some individuals appear to be susceptible and others not have yet to be fully elucidated (2). Also, it is known that different regions of the respiratory system respond differently to the deposition of PM and it is also affected by the presence of pre-existing lung disease. For example, there is a tendency for particles to be deposited more proximally in the lower respiratory tract in patients with COPD partly due to increased mucus hypersecretion and partly to airway narrowing (3).

Regional deposition may also be affected by the variation of breathing patterns, for instance, static measures of exposure in an individual working at a job which involves physical lifting requires the increased dose induced by the increased depth and rate of respiration associated with physical effort.

A fundamental requirement in order to study the effect of regional deposition of various types of PM within the respiratory system is a precision PM bolus injection system which analyses the breadth patterns and enables specified doses to be released at specified point in the breathing cycle. Here, an assumption is made that a bolus delivered early in inspiration is much more likely to deposit in the distal lung than one injected late in inspiration. Such a system would also enable the optimal release conditions for inhaled drug delivery to be studied.

## II. EXISTING METHODS

Human challenge studies have considered exposures to diluted diesel exhaust fume (4), to dehydrated aerosols (e.g. sulphuric acid (5) and to generated particles with or without co-exposure to a specific pollutant gas. These exposures can either be done in a chamber, using a head-dome or through a mouth-piece from a dilution chamber or bag. Chambers are expensive and control of exposure is not so accurate while the mouth-piece approach tends to avoid nasal delivery which is less physiological than the normal situation. However, this approach is particularly useful if trying to understand accurate deposition of inhaled particle in the lower respiratory tract.

Following the later approach, Kim (6) developed a bolus delivery system for delivering short pulses of particles during different phases of inspiration to try and determine deposition of particles by size in different parts of the lung. The system uses photometric methods to determine the difference between inhaled and exhaled concentrations of particles and assumes that the difference is deposited in the target site. This estimation is subjected to many possible errors including the likelihood of partial deposition occurring anywhere along the path due to impaction. Also, the system relies on the use of static or controlled breathing patterns and also requires the individual under test to trigger delivery and the target site selection appears to be carried out by manual means; thus falling short of practical requirements.

In contrast to the existing methods, the new system is designed to be fully automatic and programmable to take into account various clinical requirements in real situations. It also assumes preferential distal deposition based on the size and release time of the bolus but the calculations and parametric adjustments are carried out on a breath-by-breath basis by means of a real-time monitoring system, which takes into account factors such as breathing pattern, instantaneous lung volume and particulate concentration. Although the determination of the particulate concentration would be preferable using an on-line measurement system as in Kim's work, for simplicity, the present approach for achieving this was based on using statistical data relating to concentration and behavior of PM received and stored in a chamber under pressure, before delivery.

These particles may be labeled using an appropriate

radioactive isotope. The deposition site or the distribution may then be viewed using standard PET imaging techniques.

## III. BASIC SYSTEM

### A Functional requirements

The system has to comply with the following main end-user requirements.

- 1. Breath activation the system should be able to detect the start of breathing cycle and activate the data acquisition and the control system.
- 2. Breath Pattern detection Examine breath pattern histograms and identify the maximum  $(V_{max})$  and minimum  $(V_{min})$  lung volumes on the basis of a prescribed quantitative method, such as moving averages.
- 3. Programmable bolus size Once programmed, the system should determine the parameters necessary to deliver the bolus as in equation (1), below.
- 4. Deliver a bolus of radioactive labeled aerosol at a selected point of the inhalation cycle.
- 5. Programmable site of deposition in the lung as determined by the time of release.

Graphically, the operation can be shown as in Fig.1 below.

Lung Volume Vmax (avg) Target site (% of Vmax) Vmin (avg) 0 time time

Fig. 1 Specified lung target (% of  $V_{max(avg)} - V_{min(avg)}$ ) and bolus delivery period ( $\Delta t$ )

The desired dose  $W(\mu g)$  of a bolus of PM may be written as:

$$W(\mu g) = \Delta W_{(T^0, t, p)} \times \Delta t \times n$$
 (1)

where,  $\Delta w_{(T^0,\,t,\,p)}$  is the weight of the PM of a chosen size

distribution, released during a time window of  $\Delta t$ ; and (n) is the chosen number of breadth cycles over which the total weight of the bolus is dispensed. The subscripts (T<sup>0</sup>, t, p) denote that  $\Delta w$  is dependent on the temperature, elapsed time of storage of the aerosol and the pressure (p) at which the aerosol was stored. It is known that the particle concentration of a given size distribution of a stored sample of aerosol changes with time due to agglomeration, aggregation and settling. This changes the size distribution and the particulate concentration. Since the present method does not use a real-time device for measuring the particle concentration, the data relating to  $\Delta W_{(T^0, t, p)}$  has to be predetermined by experiment under prescribed conditions using a standard particle concentration metering system. Although these data have not being obtained fully, the system was designed on the basis of estimates until the experiments were completed. As can be seen from equation (1), given  $\Delta W_{(T^0, t, p)}$ ;  $\Delta t$  and (n) could be used as system program inputs or derived variables to achieve the desired dosage.

#### **B** System Description

Fig.2 below shows the main components of the system.



Fig 2 Main components of the bolus injection system

The main system is controlled by a computer based software control system. Some operations, which do not need continuous monitoring, are controlled by dedicated hardware as shown in Fig.2, above. Fig. 3, below shows the system block diagram.



Fig 3 System Block Diagram

The main component of the system is the pressurised aerosol reservoir chamber  $C_3$ , which stores the nanoparticle aerosol to

be delivered into the lung. The temperature and pressure in  $C_3$  are closely controlled. Pre-Reservoir Chamber  $C_2$  is maintained at a pressure higher than  $C_3$  so that when the pressure in  $C_3$  falls below a specified limit, it is quickly refreshed by opening the valve  $S_2$ . The nanoparticle aerosol itself is generated externally using a standard particle generator which is connected to the Aerosol inlet of the system. Valve  $S_1$  controls the flow of the aerosol to the chamber  $C_2$ , and in case of  $C_2$  reaching its maximum set pressure, bypasses the aerosol to exhaust.

Mass Flow Meters MFM1 and MFM2 are connected to the mouth-piece to detect inhalation and exhalation patterns respectively. The direction of air flow is controlled by two directional valves (not shown) connected one at each mass flow meters. The computer based control system reads values from the two mass flow meters to compute the instantaneous lung volumes. The site of deposition is determined as a set fraction of this volume to target the site of deposition specified by the user as a percentage of tidal volume ( $V_T$ ).

One of the new features is the method of priming, which is required before operation to either expel air within the chambers or to acquire a new sample. This would usually be a time consuming process. In this system, priming is achieved by cooling the inlet aerosol, such that it displaces the air or the previous sample within the chambers, thus achieving efficient priming within a short space of time.

The operation of the above control system is briefly described as follows:

- C. Set-up stage:
- 1. Operation begins with powering up the pump, which starts pumping the incoming aerosol into the Pre-Reservoir chambers.
- 2. The computer based control system monitors the pressures in the chambers  $C_2$  and  $C_3$  continuously and opens/closes appropriate valves ( $S_1$  and  $S_2$  respectively) to maintain the specified pressures. Initially when  $C_2$  and  $C_3$  are at room pressure, the valves  $S_1$  and  $S_2$  will both be open.
- 3. Once  $C_3$  reaches the set pressure,  $S_2$  is closed.  $C_2$  continues to fill up until it reached its set pressure (generally set greater than  $C_3$ ), after which the valve  $S_1$  is closed.  $S_1$  being a 3-way valve, redirects the aerosol to exhaust when closed. The exhaust aerosol flows through the filter chamber  $C_1$  (not shown) to filter the PM before the gas is let into the atmosphere.

## D. Bolus delivery stage

- 1. The process of bolus delivery begins only after the pressure in  $C_3$  has reached the specified pressure.
- 2. The Computer based control system monitors the flow through the mass flow sensors MFM1 and MFM2.
- 3. MFM1 measures the breathing flow rate during inhalation and MFM2 during exhalation. The control system reads and scales the outputs of each sensor separately to get the equivalent flow rate, and then adds the two to obtain a single breathing curve.

- 4. The control system also uses the composite signal from step 3 to compute the lung volume by performing the operation of numerical integral.
- 5. Each time the breathing changes from inhalation to exhalation or vice versa, the instantaneous lung volume (calculated in step 4) are stored to give the  $V_{max}$  and  $V_{min}$  respectively.
- 6.  $V_{max}$  and  $V_{min}$  are averaged over N number of cycles (specified by user) to obtain an average tidal volume (the volume of each breath).
- 7. Bolus of aerosol from  $C_3$  is injected during inhalation by opening the valve  $S_3$ , presently for a fixed amount of time specified by the user. The actual site of deposition itself is calculated as a percent (specified) of the average  $V_T$  and the bolus is delivered when the instantaneous lung volume reaches this value.
- 8. Also during the bolus delivery stage, the control system monitors the pressures in the chambers  $C_2$  and  $C_3$  and opens/closes the appropriate solenoid valves as in step 3 of set-up stage to maintain storage pressure.

As can be seen from above description, there are a number of control sub-systems within the system. Apart from the dedicated hardware, the whole system is operated under software control, designed in LabVIEW. Fig.4 shows the main program flowchart and Fig.5 shows the main LabVIEW code [7].





Fig. 5 LabVIEW Block Diagram of the Main Program

## IV. RESULTS

The main system has been assembled to allow testing to be carried out, although the experimental data required as mentioned under IIIB has not been completed yet. In its present form, the system however is capable of carrying out some of the essential monitoring, estimating and delivery operation functions mentioned under IIIC. Fig. 6 shows the system being operated under computer control.



#### Fig. 6 System under test

Fig. 7 below shows the main graphical User Interface (GUI) of the system. The top trace shows the breathing pattern of a subject under test as computed by the system from the mass-flow rate information obtained from the sensors attached to the mouth piece. The raw sensory data is displayed in the lower trace.

The operation of all the valves, temperature and pressure are also displayed in the GUI window, which also allows the user to specify set points on an interactive basis. Electronic drive circuits integrated into the valve assemblies provides accurate operation and fast response time (to less than 10 ms if required). These short time windows allow short pulses of bolus to be delivered allowing high target-depth resolution to be achieved.



Fig. 7 Graphical User Interface

Figure 8 below shows the breadth pattern traces obtained for the same subject after engaging in mild exercise. Note the

change in breadth pattern. Since the system is sensitive to detect small variations in breathing patterns and shapes, the data thus obtained could provide added diagnostic information, particularly from patients suffering from respiratory disorders, such as asthma.



Fig 8 LabVIEW graph showing breathing pattern after mild exercise

## V. CONCLUSIONS

An automated system for injecting prescribed doses of particulate matter at a selected point in the breathing cycle of a subject has been designed. The systems monitors the breathing patterns before initiating delivery of the bolus and continuously estimate parameters necessary for the accurate determination of the delivery requirements, such as valve opening times according to particulate concentration and aerosol pressure.

A new priming technique by means of cooling inlet aerosol has also been successfully demonstrated, which enable clearing a previous sample from the storage chambers and using a new one within a short period of time. Electronic drive circuitry integrated into the valves ensures very short and precise actuation times to achieve high spatial resolution of target cites.

The system operation is controlled by LabVIEW-based software which also monitors and display breathing patterns in terms of instantaneous lung volume and air flow rates providing additional diagnostic information.

Although the demonstration of the basic operation has been achieved, the completion of the system for clinical trials still requires experimental data relating to the behavior of the different aerosols to be used, as described in IIIA. This work is in progress. Also, the present software has limitations with respect to real-time operation. Developments relating to the use of a Real-time Operating system are also in progress.

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