The Interaction between Convective Flow and Interface Morphology of the Particle Growth

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Abstract—The interaction between the convective flow and the interface morphology of the particle growing in the convective undercooled melt is studied for three types of straining flow by means of the matched asymptotic expansion method. The analytical results show that the convection driven by the far field uniform flow makes the growing particle enhance its velocity in the upstream direction of the flow but inhibit its growth in the downstream direction, both the particle growth in the upstream direction and the decay in the downstream direction make the particle evolve into an oval; both the uniaxial straining flow effect and the biaxial straining flow effect result in higher local growth rate near the surface where the flow is incoming and lower local growth rate near the surface where the flow is outgoing, and cause an initially spherical particle evolve into an oblate spheroid. The convection leads to destabilize the interface morphology of the particle growth.

Index Terms—particle growth, convective flow, interface morphology, asymptotic solution

I. INTRODUCTION

The convective flow caused by mechanical and electromagnetic stirring is often employed in the experimental frame and practical materials processing to control the interface morphology of particle growth in melt and obtain the final materials of high property microstructure. The interaction between the convective flow and the morphology of the particle growth is of great interest in the

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Z. D. Wang is with the School of Materials Science and Engineering, University of Science and Technology Beijing, Beijing 100083, China (corresponding author: 086-010-62333152; fax:086-010-62333152; e-mail: wangzd@mater.ustb.edu.cn). field of materials science and technology. Mullins and Sekerka[1] first studied the morphological stability of growing particles controlled by diffusion in the supercooled liquid and found that the particle growth is stable below and unstable above a critical radius for instability. During several decades after their study of the interface morphological stability theory, significant progress [2-5] has been made by the inclusion of various additional effects such as the interface kinetics, anisotropy etc., among which convection effects are of utmost importance in the development of interface microstructures. The experiments and numerical simulations have shown that the convection helps to nucleate directly in the melt and grow spheroidally to a large scale from the convective melt[4-6]. Theoretically, however, when the melt convection is included, the morphological control of particles is modeled in a nonlinear dynamics problem, which does not have an exact analytical solution generally and whose theoretical study is greatly hindered. By employing the method of the matched asymptotic expansion[7-10], we seek the approximate analytical solution for the particle growth in the convective undercooled melt. With the obtained analytical result we show that the convection significantly increases the local growth rate, change the interface morphology of the particle in the undercooled melt and leads to destabilize interface morphology of the particle growth.

II. FORMATION OF THE PROBLEM

Assuming a particle with an initial radius r_0 grows in the convective undercooled melt that is referred to as an isotropic and incompressible Newtonian fluid. The temperature in the far field is T_{∞} , which is below the solidification equilibrium temperature for the pure substance T_M . The interface of the particle, $r = R(\theta, \varphi, t)$, separates the melt into solid phase and liquid phase. When the particle grows in the undercooled melt, the relative fluid velocity near the particle for the flow field can be approximately decomposed into the uniform streaming flow past the particle and the linear flow about the particle. For the ambient flow fields, we consider the effect of three types of axisymmetric flow fields[13]: the uniform streaming flow, the uniaxial straining flow and the biaxial straining flow on the interface morphology of the particle, i.e. the following convection driven conditions are respectively, given by

$$\mathbf{U} \to \mathbf{U}_{\infty}, \qquad (1)$$

for the uniform streaming flow,

$$\mathbf{U}_{\infty} = -U_{\infty}\mathbf{e}_{z};$$

for the uniaxial straining flow,

$$\mathbf{U}_{\infty} = \beta(x\mathbf{e}_{x} - \frac{1}{2}y\mathbf{e}_{y} - \frac{1}{2}z\mathbf{e}_{z});$$

and for the biaxial straining flow,

$$\mathbf{U}_{\infty} = \beta(-x\mathbf{e}_x + \frac{1}{2}y\mathbf{e}_y + \frac{1}{2}z\mathbf{e}_z),$$

where U_{∞} is a positive constant, \mathbf{e}_x , \mathbf{e}_y and \mathbf{e}_z are the unit vector in the rectangular coordinates, β is the principal rate of strain of the linear flow, x, y and z are the rectangular coordinates. The governing equations of the particle growth contain the temperature equations in the solid phase and liquid phase, the kinematical equation and the continuity equation, which are subject to the boundary conditions: the thermal dynamical equilibrium condition, the Gibbs-Thomson condition, the enthalpy conservation condition, the continuity condition of the tangential and normal components of velocity, etc.. By using the nondimensionalization given in Ref. [9,11] in which the initial radius of the particle as the length scale, the characteristic velocity of the interface V_p as the velocity scale, r_0 / V_p as the time scale, and the undercooling $\Delta T = T_M - T_\infty$ as the temperature scale, we derive the dimensionless governing equations[11]. For the sake of writing, we still use T_L and T_S to denote the dimensionless temperature distributions in the liquid phase and solid phase respectively, U to denote the dimensionless flow field in the liquid phase and R to denote the dimensionless interface shape.

The governing equations are as follows

$$\nabla \cdot \mathbf{U} = 0, \qquad (2)$$
$$\varepsilon \left(\frac{\partial \mathbf{U}}{\partial t} + (\mathbf{U} \cdot \nabla) \mathbf{U} \right) = -\nabla P + Pt \nabla^2 \mathbf{U}, \qquad (3)$$

$$\varepsilon \left(\frac{\partial T_L}{\partial t} + (\mathbf{U} \cdot \nabla) T_L \right) = \nabla^2 T_L, \qquad (4)$$

$$\varepsilon\lambda_s \frac{\partial T_s}{\partial t} = \nabla^2 T_s , \qquad (5)$$

where

$$\varepsilon = \frac{\Delta T}{\Delta H / (c_p \rho_L)}, Pt = \frac{\upsilon}{\kappa}, \lambda_s = \frac{\kappa_T}{\kappa_s},$$

in which v is the kinematical viscosity, κ_T and κ_s are the thermal diffusivities in the liquid and solid phases, respectively. It is assumed that the densities in the liquid and solid phases are equal, and the buoyancy effects are neglected.

At the interface, the total mass conservation condition and the tangential non-slip condition, the thermal equilibrium condition, the Gibbs-Thomson condition and energy conservation condition hold, i.e.

$$T_L = T_S = 2\varepsilon \Gamma K - \varepsilon E^{-1} M U_I, \qquad (6)$$

$$\varepsilon U_I = (k \nabla T_S - \nabla T_L) \cdot \mathbf{n} \,, \tag{7}$$

where U_{I} is the local interface velocity of the interface, K

the local mean curvature at the interface,

$$\Gamma = \frac{\gamma(T_M)_D}{r_0 \Delta H \Delta T} ,$$

and γ is the isotropic surface energy,

$$k = \frac{k_s}{k_L},$$

and k_L and k_s are respectively the heat conduction coefficients in the liquid and solid phases,

$$E = \frac{\Delta T}{(T_M)_D}, \ M = \frac{V}{\mu(T_M)_D},$$

and μ is the kinetics coefficient.

The far field temperature condition and the convection driven condition are respectively that, as $r \to \infty$,

$$T_L \to -\mathcal{E}$$
, (8)

$$\mathbf{U} \to \mathbf{U}_{\infty},$$
 (9)

where \mathbf{U}_{∞} is rescaled the characteristic velocity of the interface V_{p} , for the uniform streaming flow,

$$\mathbf{U}_{\infty} = -U_{\infty}\mathbf{e}_{z};$$

for the uniaxial straining flow,

$$\mathbf{U}_{\infty} = Pe(-x\mathbf{e}_{x} + \frac{1}{2}y\mathbf{e}_{y} + \frac{1}{2}z\mathbf{e}_{z});$$

and for the biaxial straining flow,

$$\mathbf{U}_{\infty} = Pe(x\mathbf{e}_{x} - \frac{1}{2}y\mathbf{e}_{y} - \frac{1}{2}z\mathbf{e}_{z}),$$

in which x, y and z are the rectangular coordinates, *Pe* is defined as the Peclet number,

$$Pe = \frac{\beta r_0^2}{V},$$

and β is a constant.

Finally, the initial condition holds, in which the initial condition for the interface is, at time t = 0,

$$R(\theta, \varphi, 0) = 1. \tag{10}$$

III. ANALYTICAL RESULTS AND ANALYSIS

By using the method of matched asymptotic expansion, we can obtain the uniformly valid asymptotic solution in the entire melt region. For the uniform streaming flow, we have that for the interface shape and its growth velocity

$$R(\theta, \varphi, t) = R_0(t) + \varepsilon R_1 P_1(\cos \theta) + \cdots, \qquad (11)$$

$$\frac{\partial R}{\partial t} = \frac{dR_0}{dt} + \varepsilon \frac{dR_1}{dt} P_1(\cos\theta) + \cdots, \qquad (12)$$

where

$$t = \frac{1}{2} (R_0^2 - 1) + (2\Gamma + E^{-1}M)$$

WCECS 2012

13)

$$\begin{split} \times \bigg[(R_0 - 1) + 2\Gamma \ln \frac{R_0 - 2\Gamma}{1 - 2\Gamma} \bigg], \qquad (1) \\ \frac{dR_0}{dt} &= \frac{R_0 - 2\Gamma}{R_0(R_0 + E^{-1}M)}, \\ R_1 &= g_{0,0}(t) + g_{1,0}(t), \\ g_{0,0}(t) &= \frac{U_{\infty}(R_0 - 2\Gamma)}{6R_0^2} \bigg[-3(1 + 4\Gamma)\Gamma - 1 + 2\Gamma^2 R_0 \\ &+ 3\Gamma R_0^2 + R_0^3 + 24\Gamma^3 \ln \frac{R_0 - 2\Gamma}{1 - 2\Gamma} \bigg], \\ g_{1,0}(t) &= \frac{3U_{\infty}}{16} (R_0^2 - 1) \\ &- \frac{3U_{\infty}(k + 2)E^{-1}M}{8} (R_0 - 1) \\ &+ \frac{3U_{\infty}(k + 2)^2 E^{-2}M^2}{8} \ln \frac{R_0 + (k + 2)E^{-1}M}{1 + (k + 2)E^{-1}M}; \\ \frac{dg_{0,0}(t)}{dt} &= -\frac{(R_0 - 4\Gamma - (R_0 - 2\Gamma)A_n)g_{0,0}(t)}{R_0^2 (R_0 + (nk + n + 1)E^{-1}M)} \\ &+ \frac{U_{\infty}(R_0 - 2\Gamma)R_0}{2(R_0 + E^{-1}M)^2}, \\ \frac{dg_{1,0}(t)}{dt} &= \frac{3U_{\infty}(R_0 - 2\Gamma)R_0}{8(R_0 + E^{-1}M)(R_0 + (k + 2)E^{-1}M)}, \end{split}$$

in which,

$$d_n = \frac{E^{-1}M}{R_0 - 2\Gamma E + E^{-1}M} \, .$$

The formula in (13) is the relation between the time t and the leading order radius of the interface shape $r = R_0(t)$, then it is equivalent whether the time t or the leading order radius R_0 of the interface shape of the particle is given.



Fig. 1. The intersection of the interface shape of a particle in the convective undercooled melt driven by the far field uniform flow, where $R_0 = 8$, $U_{\infty} = 0.55$, $\varepsilon = 0.5$, E = 0.4, M = 0.16, k = 1.



Fig. 2. The intersection of the interface shape of a particle in the convective undercooled melt driven by the far field uniform flow, where $R_0 = 10$, $U_{\infty} = 0.55$, $\varepsilon = 0.5$, E = 0.4, M = 0.16, k = 1.

Fig.1 and Fig.2 show that the convective flow induced by the far field uniform flow makes the growing interface of the particle enhance its growth velocity in the upstream direction of the far field uniform flow but inhibit its growth in the downstream direction.

For the uniaxial straining flow, we have that

$$R = R_0 + \varepsilon h_{0,0} + \varepsilon h_{2,0} P_2^0(\cos\theta) + \varepsilon h_{2,2} P_2^2(\cos\theta) \cos 2\varphi + \cdots, \qquad (14)$$
$$\frac{\partial R}{\partial t} = \frac{dR_0}{dt} + \varepsilon \frac{dh_{0,0}}{dt} + \varepsilon \frac{dh_{2,0}}{dt} P_2^0(\cos\theta) + \varepsilon \frac{dh_{2,2}}{dt} P_2^2(\cos\theta) \cos 2\varphi + \cdots, \qquad (15)$$

where $P_n^m(\cos\theta)$ is the modified Legendre polynomial,

$$\begin{split} h_{0,0} &= \frac{(R_0 - 2\Gamma)}{3R_0(R_0 + E^{-1}M)} \\ &\times \Bigg[\int_1^{R_0} \frac{E^{-1}M(6\Gamma - 6\omega + k\lambda_s\omega)\omega^3}{(\omega - 2\Gamma)^2(\omega + E^{-1}M)} d\omega \\ &+ \int_1^{R_0} \frac{(2k\lambda_s\Gamma - 3\omega)\omega^4}{(\omega - 2\Gamma)^2(\omega + E^{-1}M)} d\omega \Bigg], \\ h_{2,0} &= \frac{5PeR_0^2(R_0 + (2k + 3)E^{-1}M)^c}{16(R_0 - 2\Gamma)^b} \\ &\times \int_1^{R_0} \frac{(\omega - 2\Gamma)^b}{(\omega + (2k + 3)E^{-1}M)^{c+1}} d\omega, \\ h_{2,2} &= -\frac{5PeR_0^2(R_0 + (2k + 3)E^{-1}M)^c}{32(R_0 - 2\Gamma)^b} \\ &\times \int_1^{R_0} \frac{\omega(\omega - 2\Gamma)^b}{(\omega + (2k + 3)E^{-1}M)^{c+1}} d\omega; \\ \frac{dh_{0,0}}{dt} &= -\frac{1}{R_0^2} \Re(R_0, 0)h_{0,0} + \frac{R_0}{(R_0 + E^{-1}M)} \\ &\times \left(\frac{k\lambda_sR_0}{3}\frac{d}{dt}\left(\frac{b_0(t)}{R_0}\right) - \frac{db_0(t)}{dt}\right), \\ \frac{dh_{2,0}}{dt} &= \frac{1}{R_0^2} \Re(R_0, 2)h_{2,0} + \frac{5Peb_0(t)}{16(R_0 + 7E^{-1}M)}, \end{split}$$

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$$\frac{dh_{2,2}}{dt} = \frac{1}{R_0^2} \Re(R_0, 2)h_{2,2} - \frac{5PeR_0b_0(t)}{32(R_0 + 7E^{-1}M)}$$

in which,

$$\Re(n, R_{B0}) = \frac{R_{B0} - [kn(n+2) + 2 + (n+1)(n+2)]\Gamma}{R_{B0} + (nk+n+1)E^{-1}M} - \frac{d_n(R_{B0} - 2\Gamma)}{R_{B0} + (nk+n+1)E^{-1}M},$$

$$b_0(t) = \frac{(R_0 - 2\Gamma)R_0}{R_0 + E^{-1}M},$$

and b and c are two abbreviations,

$$b = \frac{2(2k+3)(2\Gamma + E^{-1}M)}{2\Gamma + (2k+3)E^{-1}M},$$

$$c = \frac{10\Gamma + 8k\Gamma + (2k+3)E^{-1}M}{2\Gamma + (2k+3)E^{-1}M}$$

It is seen from (14) and (15) that the growth of the particle contains two parts: one is the symmetrical growth that is not influenced by the uniaximal straining flow, in which the term $\mathcal{E}h_{0,0}$ is due to the change of temperature in the melt; the other terms represent the non-symmetrical growth that is influenced by the uniaximal straining flow. The solution for the interface shape of a growing particle in a uniaximal straining flow is the function of both θ and φ . Fig. 3 shows the interface shape evolutions of a particle growing in a uniaxial straining flow on the cross-section of the Oxz plane. The energy transfer rate is higher near the poles and lower near the Oxz plane of the equatorial plane and the particle grows into a prolate shapes on the cross-section of the Oxz plane. It is consistent with the results on the cross-section of interface shape evolution made by Noh et al.[13]. However, the situation on the cross-section of the Oyz plane is contrary to that on the cross-section of the Oxz plane. Fig. 4 shows the evolution shapes of a particle growing in a uniaxial straining flow on the cross-section of the Oyz plane. The energy transfer rate is higher near the Oyz plane of the equatorial plane and lower near the poles and then the growth velocity near the Oyz plane of the equatorial plane is higher than that near the poles, and the particle grows into an oblate shape on the cross-section of the Oyz plane. This is the case that Noh et al.[13] neglected in their numerical simulations.

For the biaxial straining flow, we have that

$$R = R_0 + \varepsilon h_{0,0} + \varepsilon h_{2,0} P_2^0 (\cos \theta) + \varepsilon h_{2,0} P_2^2 (\cos \theta) \cos 2\varphi + \cdots, \qquad (16)$$

$$\frac{\partial R}{\partial t} = \frac{dR_0}{dt} + \varepsilon \frac{dh_{0,0}}{dt} + \varepsilon \frac{dh_{2,0}}{dt} P_2^0(\cos\theta) + \varepsilon \frac{dh_{2,2}}{dt} P_2^2(\cos\theta) \cos 2\varphi + \cdots, \qquad (17)$$

where

$$h_{0,0} = \frac{(R_0 - 2\Gamma)}{3R_0(R_0 + E^{-1}M)} \times \left[\int_1^{R_0} \frac{E^{-1}M(6\Gamma - 6\omega + k\lambda_s\omega)\omega^3}{(\omega - 2\Gamma)^2(\omega + E^{-1}M)} d\omega + \int_1^{R_0} \frac{(2k\lambda_s\Gamma - 3\omega)\omega^4}{(\omega - 2\Gamma)^2(\omega + E^{-1}M)} d\omega \right],$$

$$h_{2,0} = -\frac{5PeR_0^2(R_0 + (2k+3)E^{-1}M)^c}{16(R_0 - 2\Gamma)^b} \\ \times \int_1^{R_0} \frac{(\omega - 2\Gamma)^b}{(\omega + (2k+3)E^{-1}M)^{c+1}} d\omega,$$

$$h_{2,2} = \frac{5PeR_0^2(R_0 + (2k+3)E^{-1}M)^c}{32(R_0 - 2\Gamma)^b} \\ \times \int_1^{R_0} \frac{\omega(\omega - 2\Gamma)^b}{(\omega + (2k+3)E^{-1}M)^{c+1}} d\omega;$$

$$\frac{dh_{0,0}}{dt} = -\frac{1}{R_0^2} \Re(R_0, 0)h_{0,0} + \frac{R_0}{(R_0 + E^{-1}M)} \\ \times \left(\frac{k\lambda_s R_0}{3} \frac{d}{dt} \left(\frac{b_0(t)}{R_0}\right) - \frac{db_0(t)}{dt}\right),$$

$$\frac{dh_{2,0}}{dt} = \frac{1}{R_0^2} \Re(R_0, 2)h_{2,0} - \frac{5Peb_0(t)}{16(R_0 + 7E^{-1}M)},$$

$$\frac{dh_{2,2}}{dt} = \frac{1}{R_0^2} \Re(R_0, 2)h_{2,2} + \frac{5PeR_0b_0(t)}{32(R_0 + 7E^{-1}M)}.$$

Fig. 3. The cross-sections of interface shape of a particle in the uniaxial straining flow, at time t = 5,10,15,20,25 (from inside to outside), where $\beta = 0.5$, $\Gamma = 0.1$, $\Delta T = 370$, $\lambda_s = 0.01$, E = 0.4, M = 0.16, $\varepsilon = 0.15$.

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Fig. 4. The cross-sections of interface shape of a particle in the uniaxial straining flow, at time t = 10, 20, 30, 40, 50 (from inside to outside), where $\beta = 0.5$, $\Gamma = 0.1$, $\Delta T = 370$, $\lambda_s = 0.01$, E = 0.4, M = 0.16, $\varepsilon = 0.15$.

It is seen from (16) and (17) that similar to the case of uniaxial straining flow the solution for the shape of a growing particle in a biaximal straining flow is the function of both θ and φ . Fig. 5 shows the interface evolution shapes of a particle growing in a biaxial straining flow on the cross-section of the Oxz plane. The energy transfer rate is higher near the poles and lower near the Oxz plane of the equatorial plane and the particle grows into a prolate shapes on the cross-section of the Oxz plane. It is consistent with the results on the cross-sections of the interface shape made by Noh et al.[13]. However, Fig.6 shows the situation on the cross-sections of the Oyz plane is contrary to that on the cross-sections of the Oxz plane.

Further study on the interface stability of the particle growth shows that the convection significantly leads to destabilize the interface morphology of the particle growth. The convection makes the particles growing in the undercooled melt evolve into various shapes of the interface morphology which have high strength/weight ratio and specific surface fraction and then help to form the final material of excellent mechanical and physical properties[7].



Fig. 5. The cross-sections of interface shape of a particle in the biaxial straining flow, at time t = 10, 20, 30, 40, 50 (from inside to outside), where $\beta = 0.5$, $\Gamma = 0.1$, $\Delta T = 370$, $\lambda_s = 0.01$, E = 0.4, M = 0.16, $\varepsilon = 0.15$.



Fig. 6. The cross-sections of interface shape of a particle in the biaxial straining flow, at time t = 5,10,15,20,25 (from inside to outside), where $\beta = 0.5$, $\Gamma = 0.1$, $\Delta T = 370$, $\lambda_s = 0.01$, E = 0.4, M = 0.16, $\varepsilon = 0.15$.

IV. CONCLUSION

We have studied the interaction between the convective flow and the morphology of the particle growth in the convective undercooled melt for three types of straining flow by using the method of matched asymptotic expansion. The analytical results show that the convection driven by the far field uniform flow makes the interface of the growing particle enhance the growth velocity in the upstream direction of the flow but inhibit growth in the downstream direction. Both the growth in the upstream direction and the decay in the downstream direction make the particle evolve into an oval; both the uniaxial straining flow effect and the biaxial straining flow effect result in higher local growth rate near the surface where the flow is incoming and lower local growth rate near the surface where the flow is outgoing, and both the uniaxial straining flow and the biaxial straining flow cause an initially spherical particle evolve into an oblate spheroid. The convection leads to destabilize the interface morphology of the particle growth.

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